

# Adopting Java for the Serverless world

from the perspective of the **AWS** developer



GraalVM™



spring



AWS  
Serverless





# Contact



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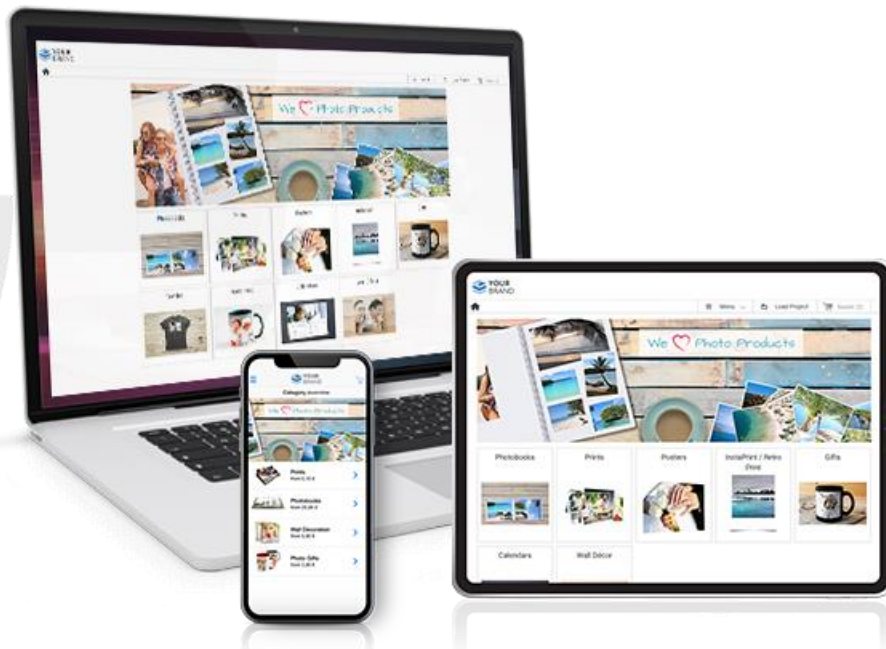
<https://www.linkedin.com/in/vadymkazulkin>



@VKazulkin



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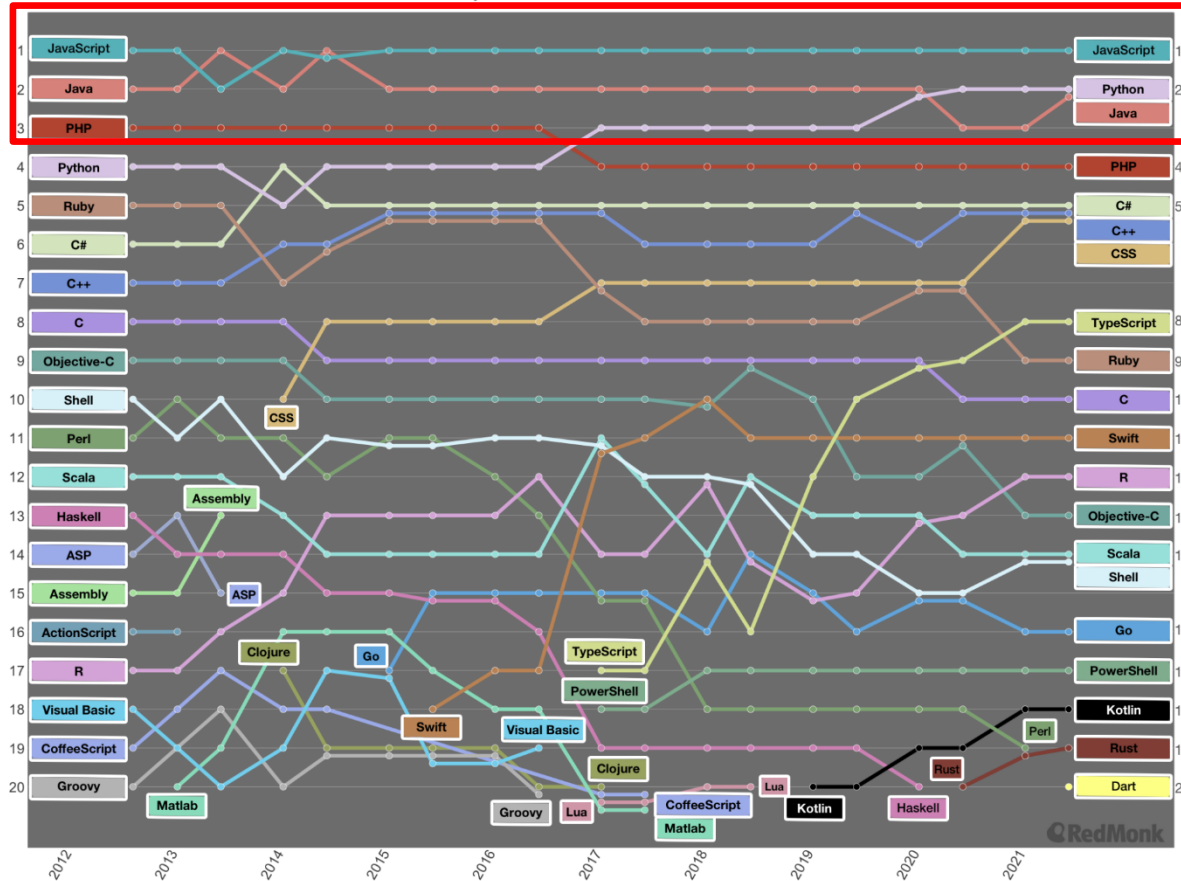


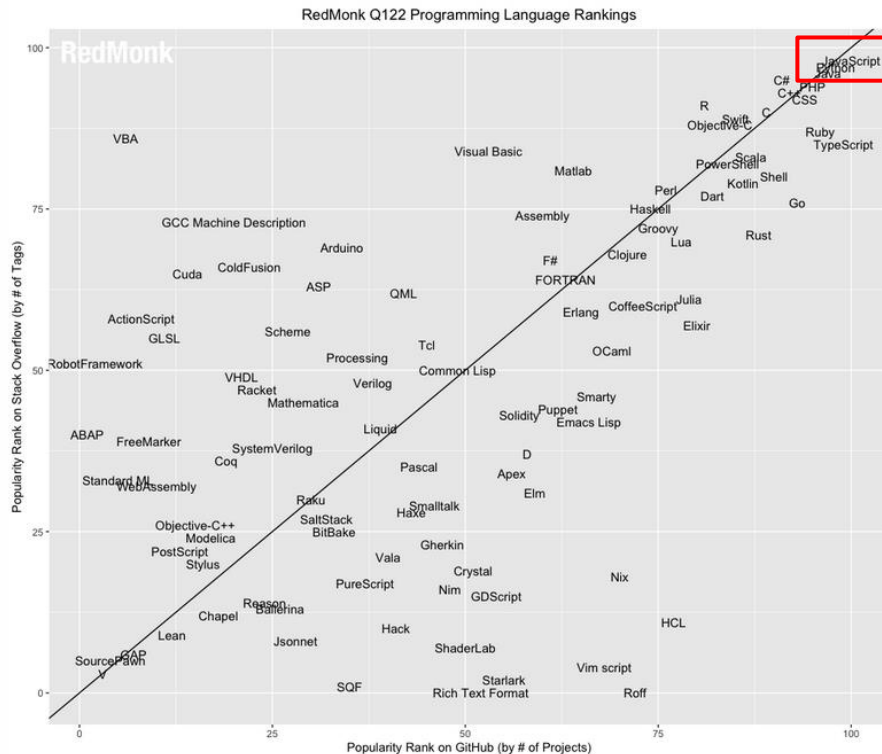
# Java popularity



# RedMonk Language Rankings

September 2012 - June 2021





- 1 JavaScript
- 2 Python
- 3 Java
- 4 PHP
- 5 CSS



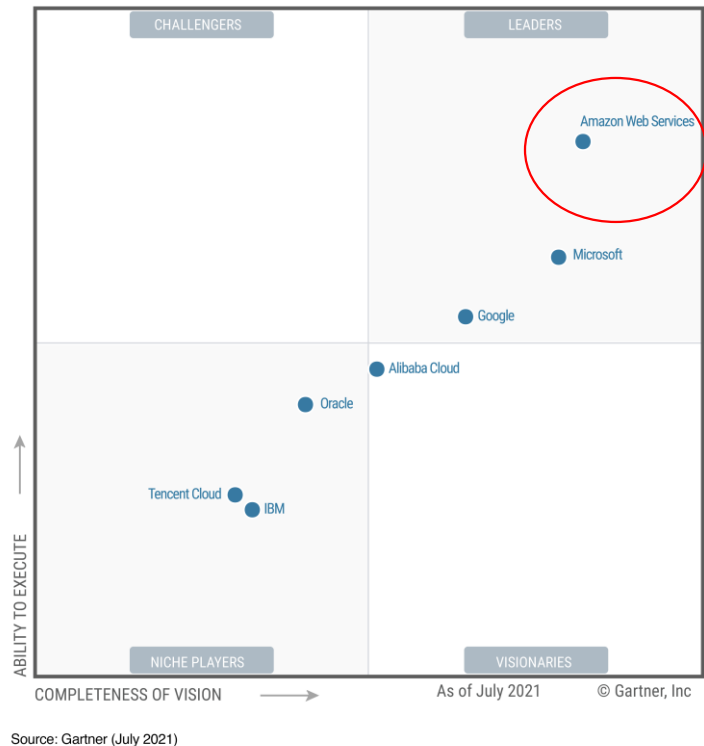
# AWS and Serverless



Figure 1. Magic Quadrant for Cloud Infrastructure and Platform Services



Figure 1: Magic Quadrant for Cloud Infrastructure and Platform Services

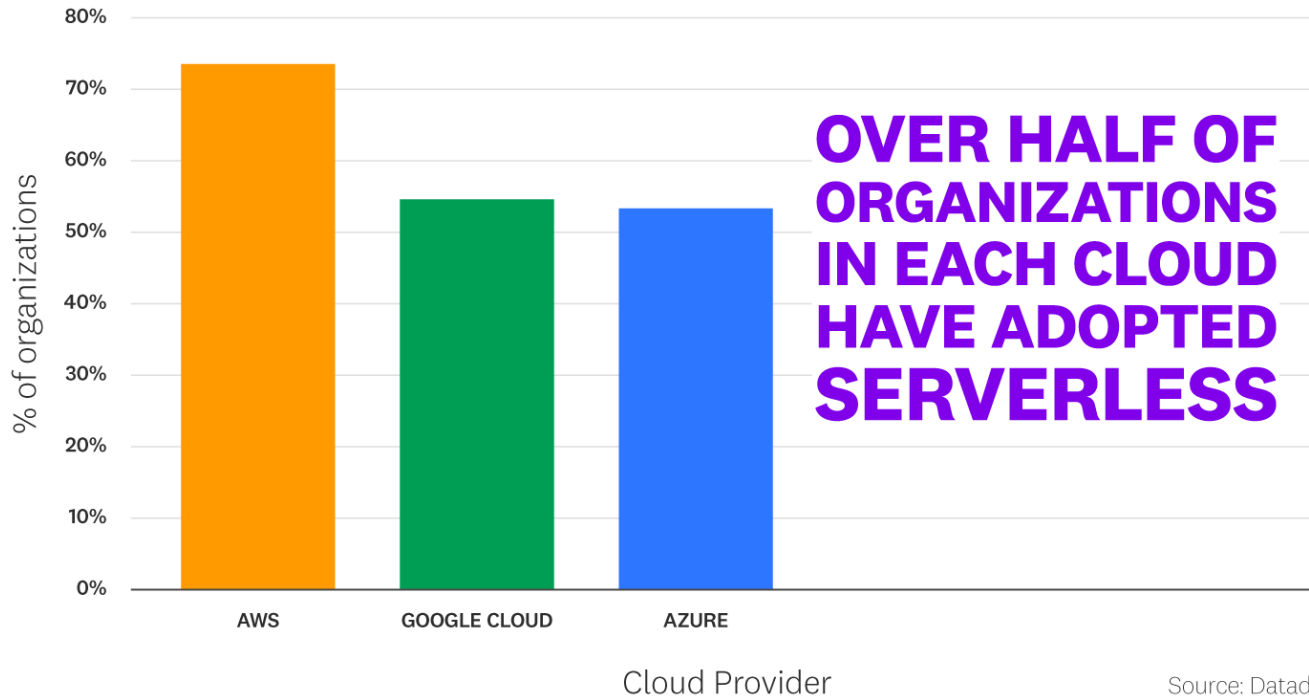


Source: Gartner (July 2021)





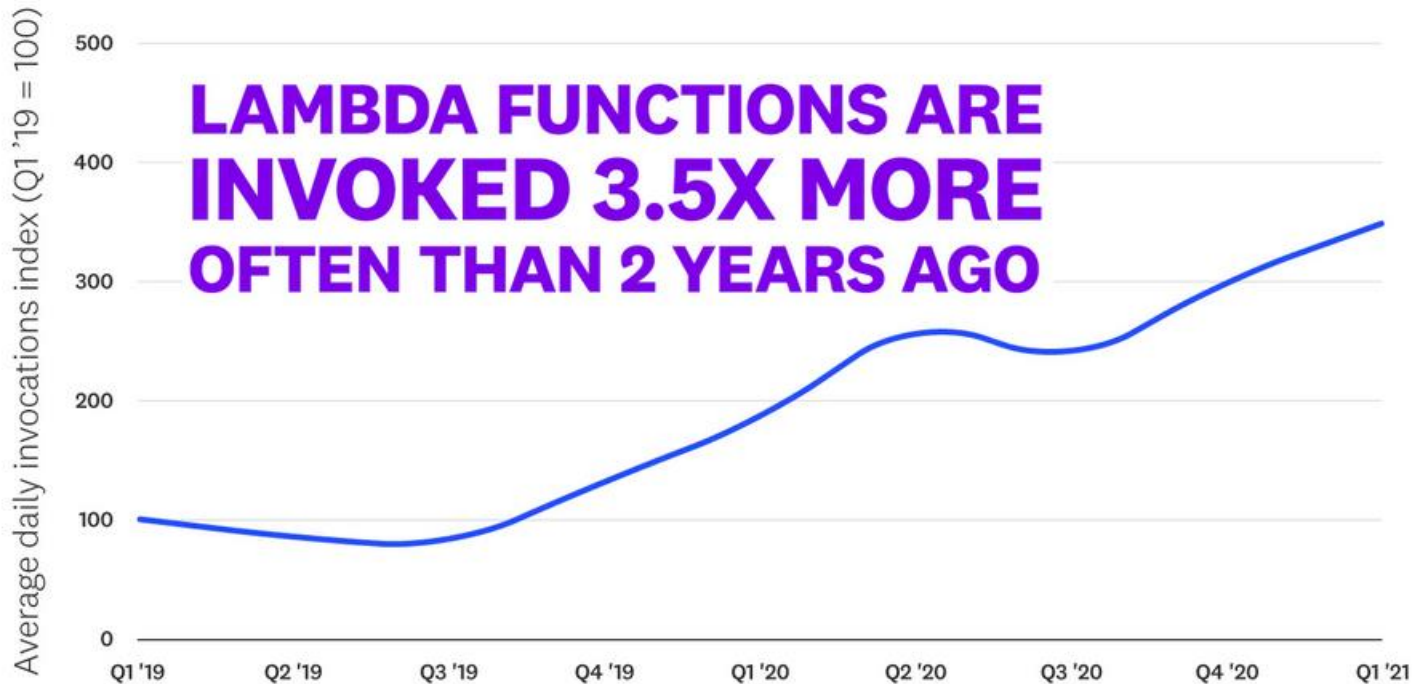
## Serverless adoption by cloud provider



Source: Datadog



## Average Daily Invocations per Lambda Function Index



Source: Datadog



# Life of the Java (Serverless) developer on AWS



# AWS Java Versions Support

- Java 8
  - With extended long-term support
- Java 11 (since 2019)
- Only Long Term Support (LTS) by AWS
- Current LTS Java version is Java 17
  - Amazon Corretto Support for 17 is released, but not currently available for AWS Lambda

## Amazon Corretto

No-cost, multiplatform, production-ready distribution of OpenJDK

Amazon Corretto is a no-cost, multiplatform, production-ready distribution of the Open Java Development Kit (OpenJDK). Corretto comes with long-term support that will include performance enhancements and security fixes. Amazon runs Corretto internally on thousands of production services and Corretto is certified as compatible with the Java SE standard. With Corretto, you can develop and run Java applications on popular operating systems, including Linux, Windows, and macOS.



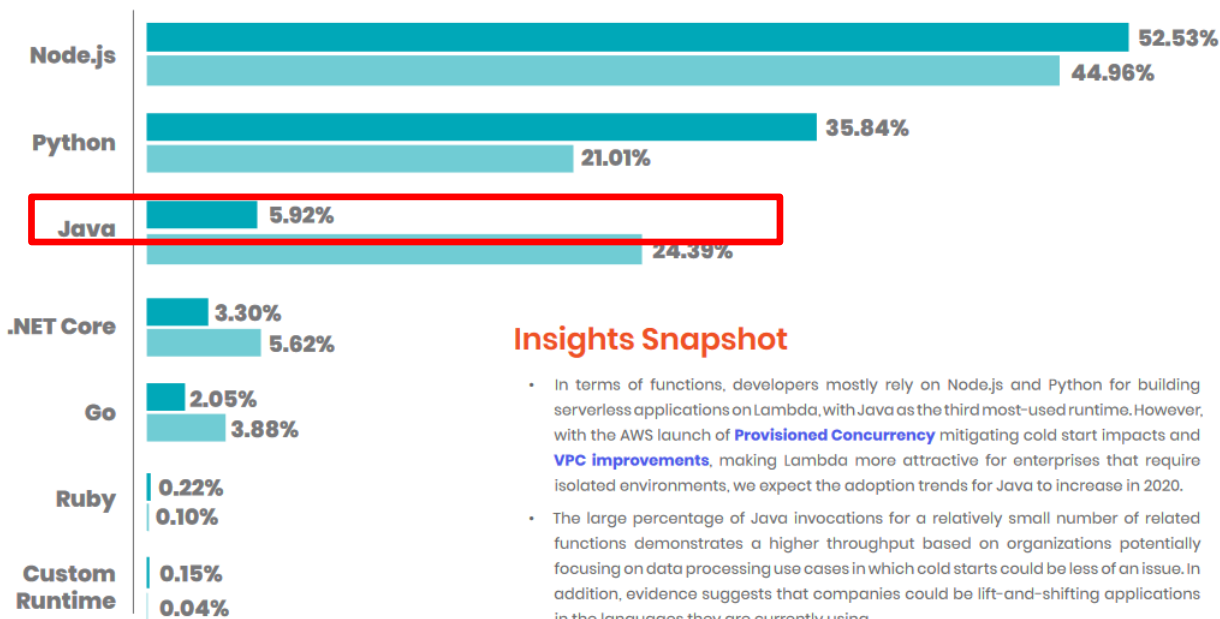
Java ist very fast  
and mature  
programming  
language...

... but Serverless  
adoption of Java  
looks like this





# Lambda Adoption by Runtime



## Insights Snapshot

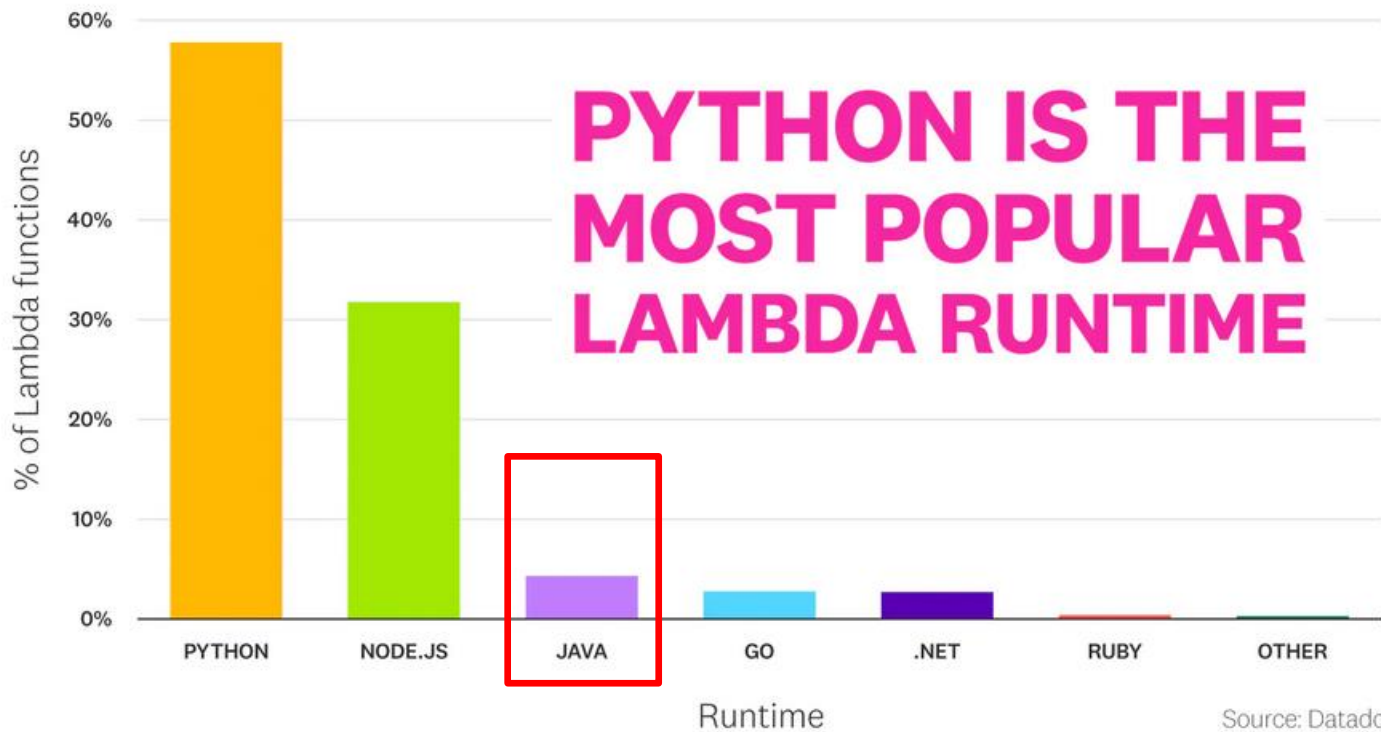
- In terms of functions, developers mostly rely on Node.js and Python for building serverless applications on Lambda, with Java as the third most-used runtime. However, with the AWS launch of **Provisioned Concurrency** mitigating cold start impacts and **VPC improvements**, making Lambda more attractive for enterprises that require isolated environments, we expect the adoption trends for Java to increase in 2020.
- The large percentage of Java invocations for a relatively small number of related functions demonstrates a higher throughput based on organizations potentially focusing on data processing use cases in which cold starts could be less of an issue. In addition, evidence suggests that companies could be lift-and-shifting applications in the languages they are currently using.

■ Percentage of all **functions** monitored  
■ Percentage of all **invocations** monitored

Time frame July-December 2019

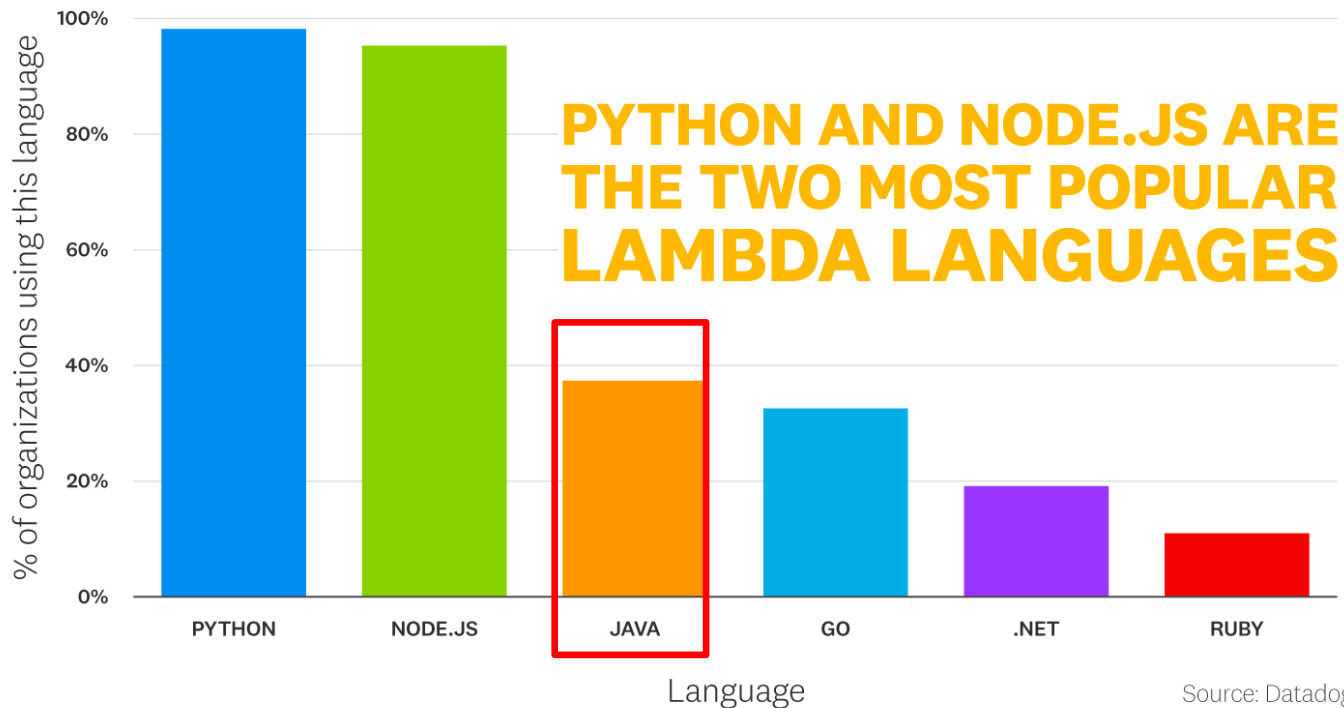


## Most Popular Runtimes by Distinct Functions





## Top languages used in Lambda functions



Source: Datadog





Developers love Java and will be happy  
to use it for Serverless

But what are the challenges ?

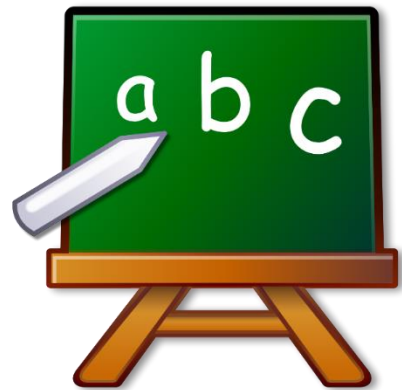
```
C:\Windows\system32\cmd.exe
E:\Java>javac First.java
E:\Java>java First
Let's do something using Java technology.
E:\Java>
```





# Serverless with Java challenges

- “cold start” times (latencies)
- memory footprint (high cost in AWS)



# AWS Lambda Basics



# Creating AWS Lambda with Java 1/3

## Basic information

### Function name

Enter a name that describes the purpose of your function.

MyFirstJavaFunction

Use only letters, numbers, hyphens, or underscores with no spaces.

### Runtime [Info](#)

Choose the language to use to write your function. Note that the console code editor supports only Node.js, Python, and Ruby.

Java 11 (Corretto)

### Architecture [Info](#)

Choose the instruction set architecture you want for your function code.

x86\_64

arm64

### Permissions [Info](#)

By default, Lambda will create an execution role with permissions to upload logs to Amazon CloudWatch Logs. You can customize this default role later when adding triggers.

#### ▼ Change default execution role

### Execution role

Choose a role that defines the permissions of your function. To create a custom role, go to the [IAM console](#).

Create a new role with basic Lambda permissions

Use an existing role

Create a new role from AWS policy templates

### Latest supported

.NET 6 (C#/PowerShell)

.NET Core 3.1 (C#/PowerShell)

Go 1.x

Java 11 (Corretto)

Node.js 14.x

Python 3.9

Ruby 2.7

### Other supported

Java 8 on Amazon Linux 1

Java 8 on Amazon Linux 2

Node.js 12.x

Java 11 (Corretto)

## Basic settings

### Description

### Memory (MB) [Info](#)

Your function is allocated CPU proportional to the memory configured.



### Timeout [Info](#)

0 min 3 sec

Full CPU access only  
approx. at 1.8 GB  
memory allocated



# Creating AWS Lambda with Java 2/3

```
import javax.inject.Inject;

import org.slf4j.Logger;
import org.slf4j.LoggerFactory;

import com.amazonaws.services.lambda.runtime.Context;
import com.amazonaws.services.lambda.runtime.RequestHandler;

public class MonthlyInvoiceGeneratorFunction
implements RequestHandler<MonthlyInvoiceRequest, MonthlyInvoiceResponse> {

    private static final Logger LOG = LoggerFactory.getLogger(MonthlyInvoiceGeneratorFunction.class);

    @Inject
    private MonthlyInvoiceGeneratorService monthlyInvoiceGeneratorService;

    @Override
    public MonthlyInvoiceResponse handleRequest(MonthlyInvoiceRequest monthlyInvoiceRequest,
        final Context context) {
        if (LOG.isDebugEnabled()) {
            LOG.debug("request: {}", monthlyInvoiceRequest);
        }
        return this.monthlyInvoiceGeneratorService.generateInvoice(monthlyInvoiceRequest);
    }
}
```

```
import java.util.function.Function;

import org.slf4j.Logger;
import org.slf4j.LoggerFactory;
import org.springframework.beans.factory.annotation.Autowired;

public class MonthlyInvoiceGeneratorFunction
implements Function<MonthlyInvoiceRequest, MonthlyInvoiceResponse> {

    private static final Logger LOG = LoggerFactory.getLogger(MonthlyInvoiceGeneratorFunction.class);

    @Autowired
    private MonthlyInvoiceGeneratorService monthlyInvoiceGeneratorService;

    @Override
    public MonthlyInvoiceResponse apply(MonthlyInvoiceRequest monthlyInvoiceRequest) {
        if (LOG.isDebugEnabled()) {
            LOG.debug("request: {}", monthlyInvoiceRequest);
        }
        return this.monthlyInvoiceGeneratorService.generateInvoice(monthlyInvoiceRequest);
    }
}
```



# Creating AWS Lambda with Java 3/3

## AWS Lambda context object in Java

[PDF](#) | [Kindle](#) | [RSS](#)

When Lambda runs your function, it passes a context object to the [handler](#). This object provides methods and properties that provide information about the invocation, function, and execution environment.

### Context methods

- `getRemainingTimeInMillis()` – Returns the number of milliseconds left before the execution times out.
- `getFunctionName()` – Returns the name of the Lambda function.
- `getFunctionVersion()` – Returns the [version](#) of the function.
- `getInvokedFunctionArn()` – Returns the Amazon Resource Name (ARN) that's used to invoke the function. Indicates if the invoker specified a version number or alias.
- `getMemoryLimitInMB()` – Returns the amount of memory that's allocated for the function.
- `getAwsRequestId()` – Returns the identifier of the invocation request.
- `getLogGroupName()` – Returns the log group for the function.
- `getLogStreamName()` – Returns the log stream for the function instance.
- `getIdentity()` – (mobile apps) Returns information about the Amazon Cognito identity that authorized the request.
- `getClientContext()` – (mobile apps) Returns the client context that's provided to Lambda by the client application.
- `getLogger()` – Returns the [logger object](#) for the function.



# AWS Lambda Price Model



# Cost for Lambda



REQUEST



DURATION





Request Tier

**\$ 0.20**

Per 1 Mio Requests



Duration Tier

**\$ 0.00001667 (x86)**

**\$ 0.00001333 (Arm)**

Per GB-Second



GB-Second



ONE SECOND



ONE GB



# Example

- 1 Mio requests
- Lambda x86 with 512MiB
- Each lambda takes 200ms

$0.5 \text{ GiB} * 0.2 \text{ sec} * 1 \text{ Mio}$

$= 100\,000 \text{ GB-Seconds}$



Requests:  
**\$0.20**



GB-Seconds:  
**\$1.67**

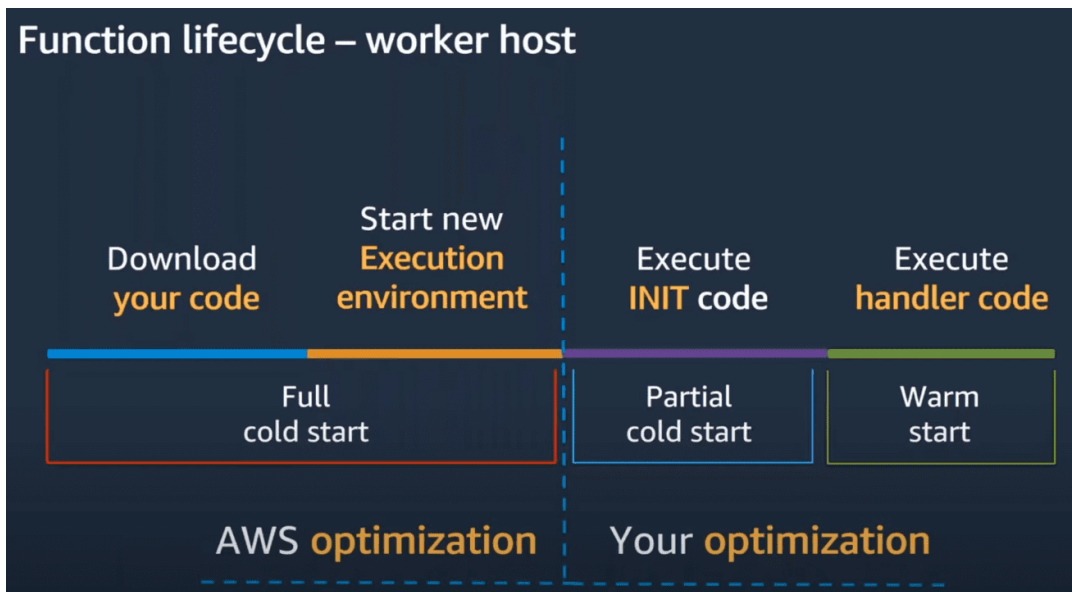


Challenge Number 1 with Java is a  
big **cold-start**



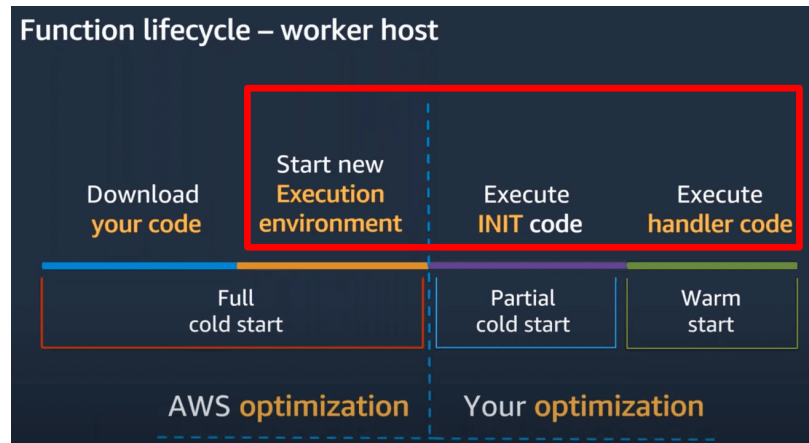


# Cold Start





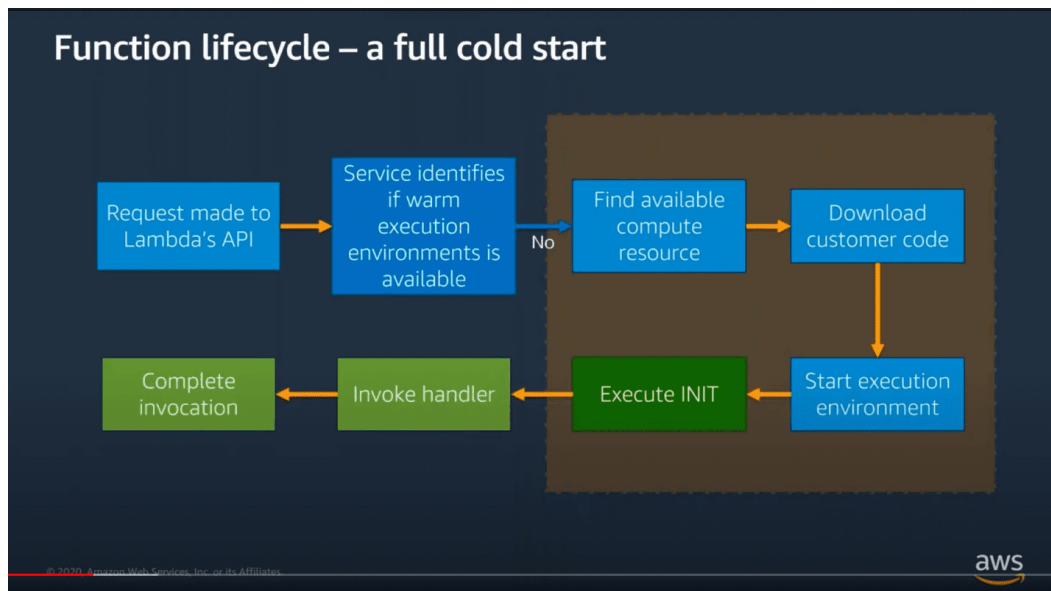
- Start Firecracker VM
- AWS Lambda starts the JVM
- Java runtime loads and initializes handler class
  - Static initializer block of the handler class is executed
  - Init-phase has **full CPU access up to 10 seconds for free** *for the managed execution environments*
- Lambda calls the handler method



The screenshot shows the 'Basic settings' configuration page. The 'Memory (MB)' field is highlighted with a red box, showing a value of 128 MB. The 'Timeout' field is set to 0 min and 3 sec.



# Function lifecycle- a full cold start

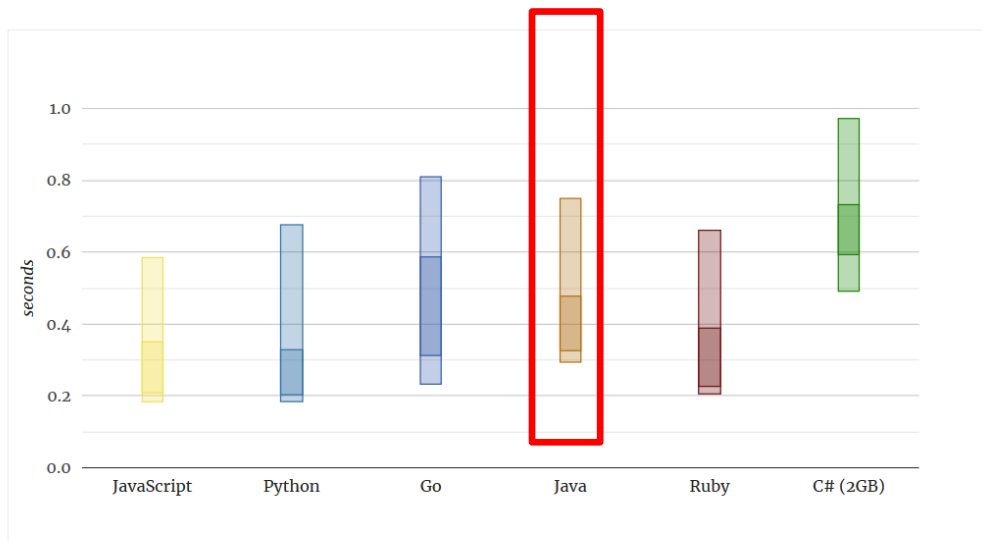






# AWS Lambda cold start duration per programming language

The following chart shows the typical range of cold starts in AWS Lambda, broken down per language. The darker ranges are the most common 67% of durations, and lighter ranges include 95%.



Typical cold start durations per language



# Cold start duration with Java

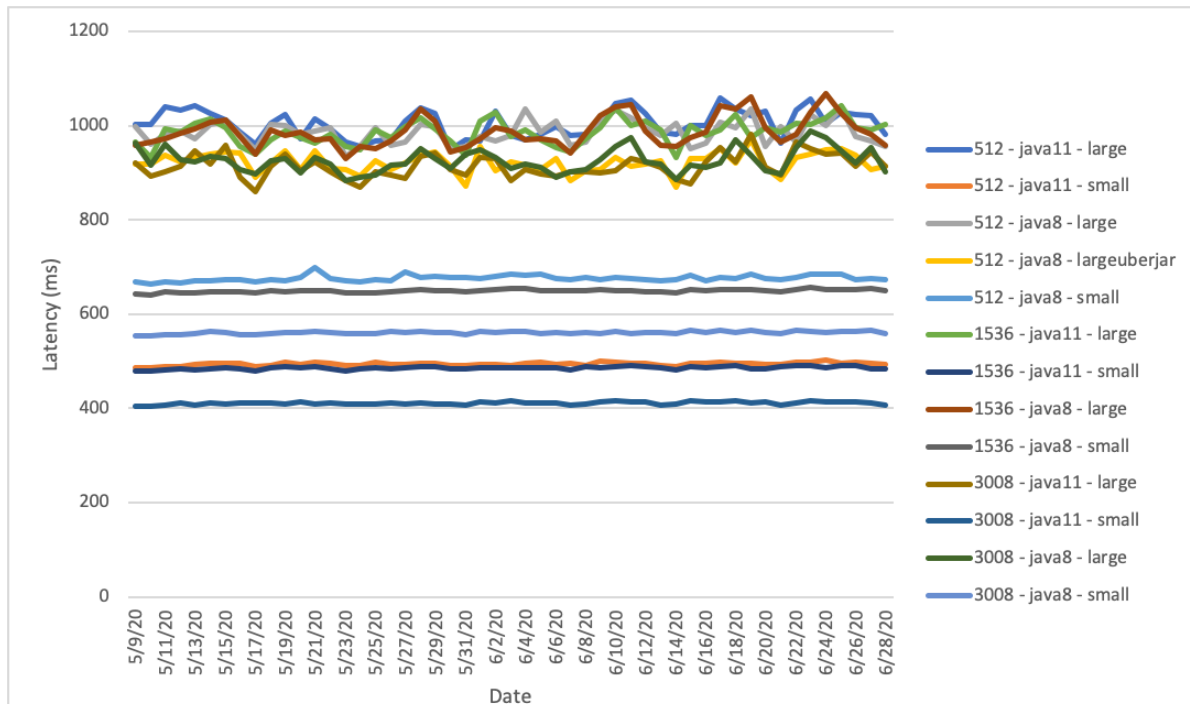
- Below 1 second is best-case cold start duration for very simple Lambda like HelloWorld with no dependencies
- It goes up significantly with more complex scenarios
  - Instantiation outside of the handler method (static initializer block) to communicate with other (AWS) services (e.g. DynamoDB, SNS, SQS, 3<sup>rd</sup> party)
- Artifact size



# AWS Lambda cold starts by memory size, runtime and artifact size

## Artifact Size:

- Small zip (1KB)
- Large zip (48MB)
- Large uberjar (53MB)





# Cold start duration with Java

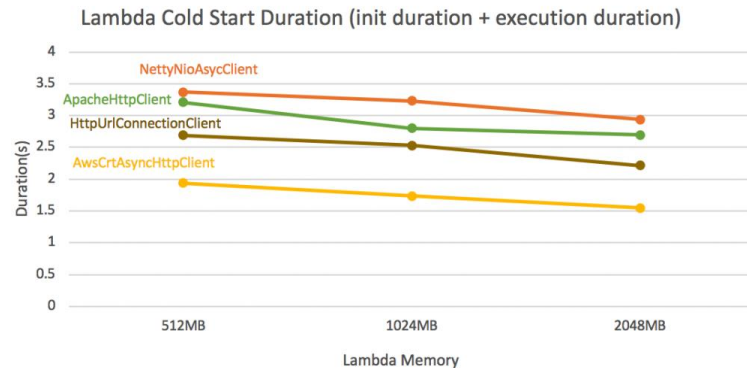
- Below 1 second is best-case cold start duration for very simple Lambda like HelloWorld with no dependencies
- It goes up significantly with more complex scenarios
  - Instantiation outside of the handler method (static instantiation) to communicate with other (AWS) services (i.e. DynamoDB, SNS, SQS, 3<sup>rd</sup> party)
- Artifact size
- To minimize the cold start time apply best practices from this talk
  - Worst-case cold starts can be higher than 10 and even 20 seconds



# Best Practices and Recommendations

- Switch to the AWS SDK 2.0 for Java
  - Lower footprint and more modular
  - Allows to configure HTTP Client of your choice (i.e. Java own Basic HTTP Client or *newly introduced AWS Common Runtime async HTTP Client*)

```
S3AsyncClient.builder()  
.httpClientBuilder(AwsCrtAsyncHttpClient.builder()  
.maxConcurrency(50))  
.build();
```





# Best Practices and Recommendations

- Less (dependencies, classes) is more
  - Include only required dependencies (e.g. not the whole AWS SDK 2.0 for Java, but the dependencies to the clients to be used in Lambda)
  - Exclude dependencies, which you don't need at runtime e.g. test frameworks like Junit

```
<dependency>
```

```
<groupId>software.amazon.awssdk</groupId>
```

```
<artifactId>bom</artifactId>
```

```
<version>2.10.86</version>
```

```
<type>pom</type>
```

```
<scope>import</scope>
```

```
</dependency>
```

```
<dependency>
```

```
<groupId>software.amazon.awssdk</groupId>
```

```
<artifactId>dynamodb</artifactId>
```

```
<version>2.10.86</version>
```

```
</dependency>
```

```
<dependency>
```

```
<groupId>org.junit.jupiter</groupId>
```

```
<artifactId>junit-jupiter-api</artifactId>
```

```
<version>5.4.2</version>
```

```
<scope>test</scope>
```

```
</dependency>
```



# Best Practices and Recommendations

- Initialize dependencies during initialization phase
  - Use static initialization in the handler class, instead of in the handler method (e.g. `handleRequest`) to take the advantage of the access to the full CPU core for max 10 seconds
  - In case of DynamoDB client put the following code outside of the handler method:

```
AmazonDynamoDB client = AmazonDynamoDBClientBuilder.standard()...build();  
DynamoDB dynamoDB = new DynamoDB(client);
```



# Best Practices and Recommendations

Provide all known values (for building clients i.e. DynamoDB client) to avoid auto-discovery

- credential provider, region, endpoint

```
AmazonDynamoDB client = AmazonDynamoDBClientBuilder.standard()  
.withRegion(Regions.US_WEST_2)  
.withCredentials(new ProfileCredentialsProvider("myProfile"))  
  
.build();
```





# Best Practices and Recommendations Using Tiered Compilation

Achieve **up to 60%** faster startup times can use **level 1** compilation with little risk of reducing warm start performance

Level 4 - C2
Level 3 - C1 w/ full profiling
Level 2 - C1 w/ basic profiling
Level 1 - C1 w/o profiling
Level 0 - Interpreter

Choose **Add environment variable**. Add the following:

Bash

- Key: JAVA\_TOOL\_OPTIONS
- Value: -XX:+TieredCompilation -XX:TieredStopAtLevel=1

The screenshot shows the AWS Lambda console Configuration tab. The left sidebar has a menu with options: General configuration, Triggers, Permissions, Destinations, Environment variables (selected), and Tags. The main content area is titled "Environment variables (0)" and contains a table with columns "Key" and "Value". Below the table, it says "No environment variables" and "No environment variables associated with this function." There is an "Edit" button in the top right and another "Edit" button at the bottom of the table area.

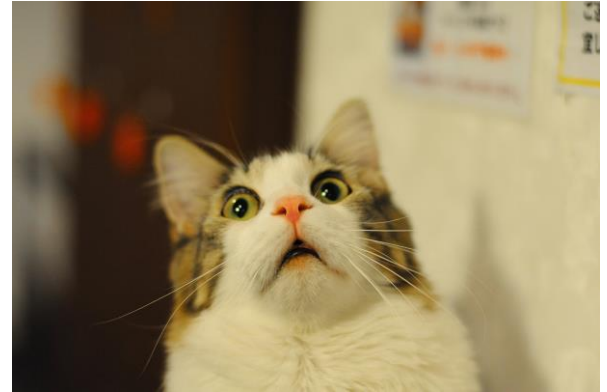
The screenshot shows the "Edit environment variables" dialog in the AWS Lambda console. The breadcrumb path is "Lambda > Functions > example-with-tiered-comp > Edit environment variables". The title is "Edit environment variables". Below the title, there is a text block: "You can define environment variables as key-value pairs that are accessible from your function code. These are useful to store configuration settings without the need to change function code. [Learn more](#)". Below this, there is a table with columns "Key" and "Value". The first row contains "JAVA\_TOOL\_OPTIONS" in the Key column and "-XX:+TieredCompilation -XX:TieredStop" in the Value column. There is a "Remove" button to the right of the Value cell. Below the table, there is an "Add environment variable" button. At the bottom of the dialog, there is a section for "Encryption configuration" with a right-pointing arrow. At the very bottom right, there are "Cancel" and "Save" buttons.



# Best Practices and Recommendations

Avoid:

- reflection
- runtime byte code generation
- runtime generated proxies
- dynamic class loading



Use DI Frameworks which aren't reflection-based



# Best Practices and Recommendations

## Cost optimization techniques





# Cost for Lambda



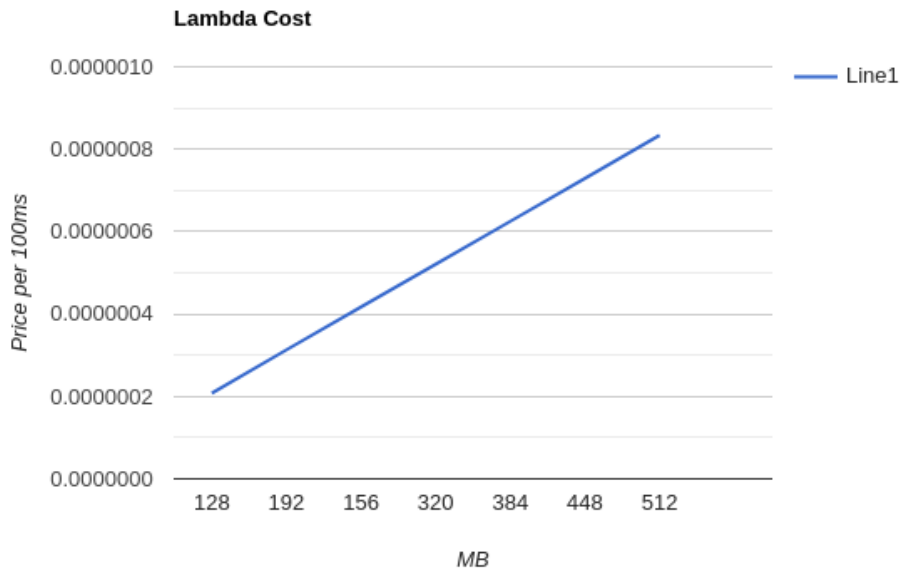
REQUEST



DURATION



Cost scales linearly with memory





# More memory = more expensive?

## Basic settings

### Description

### Memory (MB) [Info](#)

Your function is allocated CPU proportional to the memory configured.



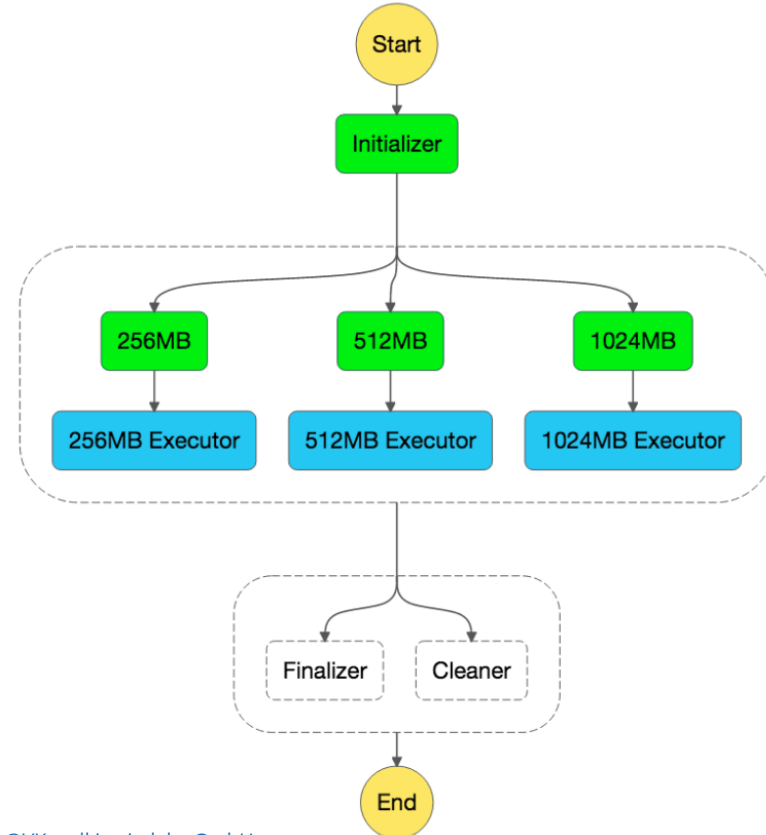
### Timeout [Info](#)

min  sec



# Lambda Power Tuning 1/2

- Executes different settings in parallel
- Outputs the optimal setting





# Lambda Power Tuning 2/2

- Executes different settings in parallel
- Outputs the optimal setting

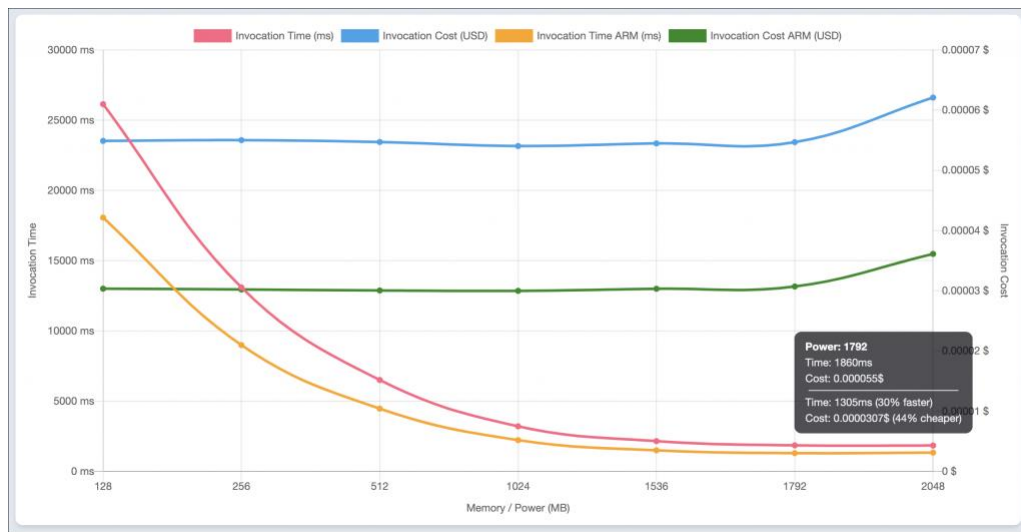
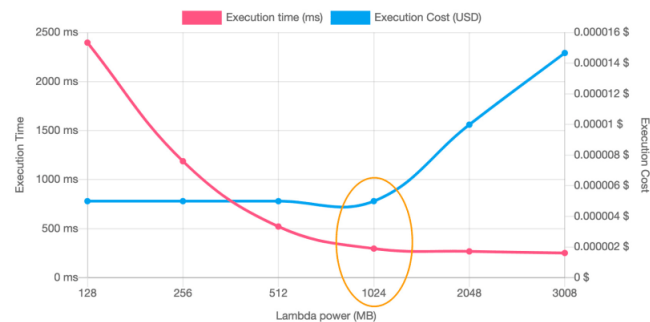


Image: <https://github.com/alexcasalboni/aws-lambda-power-tuning>  
Alex Casalboni: "Deep dive: finding the optimal resources allocation for your Lambda functions"  
<https://dev.to/aws/deep-dive-finding-the-optimal-resources-allocation-for-your-lambda-functions-35a6>

Kazulkin @VKazulkin , ip.labs GmbH





# Optimizing AWS Lambda cost and performance using AWS Compute Optimizer

**Recommendations for Lambda functions (2)** [Info](#)  
Recommendations for current resources to improve cost and performance.

Filter by one or more Regions   Memory over-provisioned

Region: US East (N. Virginia)

Function name	Function version	Finding	Finding reason	Current configured memory	Recommended configured memory
lambda-recommendation-test-sleep	\$LATEST	Not optimized	Memory over-provisioned	1024 MB	900 MB

[AWS Compute Optimizer](#) > [Dashboard](#) > [Recommendations for Lambda functions](#) > [lambda-recommendation-test-sleep details](#)

Function version: \$LATEST

**Compare current configured memory with recommended options** [Info](#)  
Consider an alternate memory configuration for the Lambda function.

Options	Configured memory	Cost difference (%)	Used memory (maximum)	Duration (average)	Projected duration (expected)
<input type="radio"/> Current	1024 MB	-	819.0 MB	31333.6 milliseconds	-
<input checked="" type="radio"/> Option 1	900 MB	-15.7% ~ -7.1%	-	-	31515.9 milliseconds

```
Bash
$ aws compute-optimizer \
  get-lambda-function-recommendations \
  --function-arns arn:aws:lambda:us-east-1:123456789012:function:lambda-recommendation-test-sleep

JSON
{
  "lambdaFunctionRecommendations": [
    {
      "utilizationMetrics": [
        {
          "name": "Duration",
          "value": 31333.63587049883,
          "statistic": "Average"
        },
        {
          "name": "Duration",
          "value": 32522.04,
          "statistic": "Maximum"
        },
        {
          "name": "Memory",
          "value": 817.67049838188,
          "statistic": "Average"
        }
      ]
    }
  ]
}
```



# Cost optimization

- Java is well optimized for long running server applications
  - High startup times
  - High memory utilization

Even with all optimization applied we'll be left with seconds of the colds starts and high memory utilization



# GraalVM enters the scene

GraalVM™



# GraalVM

## Goals:

Low footprint ahead-of-time mode for JVM-based languages

High performance for all languages

Convenient language interoperability and polyglot tooling



## Community Edition

GraalVM Community is available for free for evaluation, development and production use. It is built from the GraalVM sources available on [GitHub](#). We provide pre-built binaries for Linux, macOS X, and Windows platforms on x86 64-bit systems. Windows support is [experimental](#).

DOWNLOAD FROM GITHUB

### LICENSE

- [Open Source Licenses](#)
- Free for development and production use

### BENEFITS

- Open-source license
- Free community support via [public channels](#)
- Presence of all enterprise components
- Bug fixes and enhancements

## Enterprise Edition

GraalVM Enterprise provides additional performance, security, and scalability relevant for running applications in production. It is free for evaluation uses and available for download from the [Oracle Technology Network](#). We provide binaries for Linux, macOS X, and Windows platforms on x86 64-bit systems. Windows support is [experimental](#).

DOWNLOAD FROM OTN

### LICENSE

- [Oracle Master License Agreement](#)
- Free for evaluation and non-production use
- [Contact us](#) for commercial use and support options

### BENEFITS

- Faster performance and smaller footprint
- Enhanced security features
- Managed capabilities for native code
- Premier 24x7x365 support via [MOS](#)

## Available Distributions

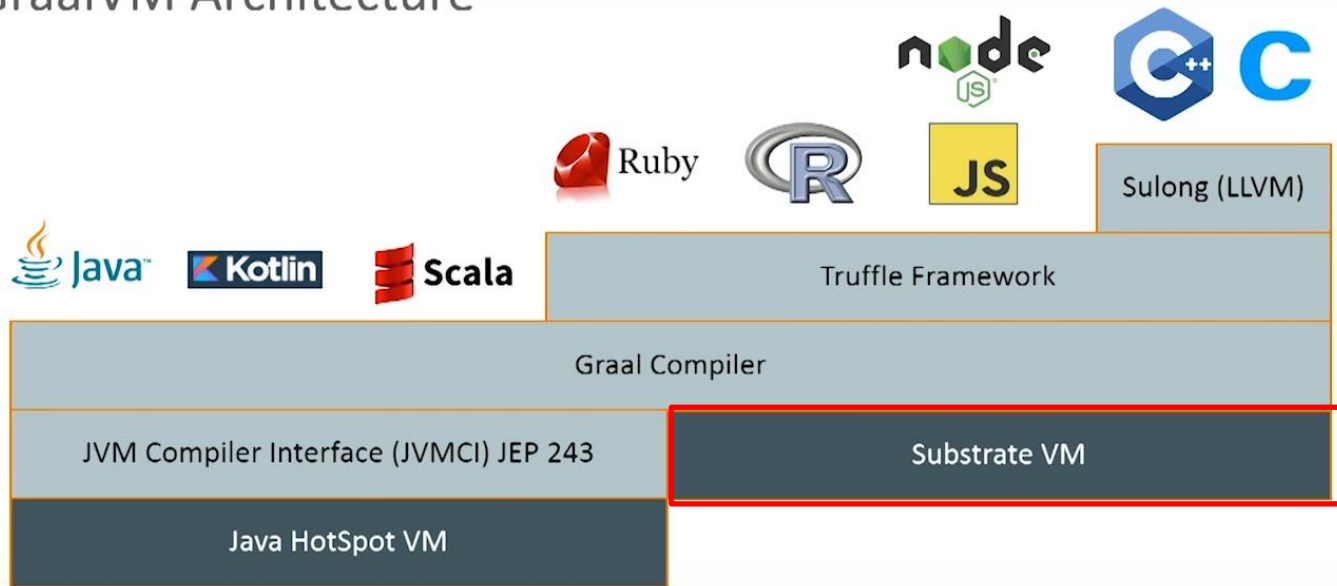
GraalVM is available as **GraalVM Enterprise** and **GraalVM Community** editions and includes support for [Java 11 and Java 17](#). GraalVM Enterprise is based on Oracle JDK while GraalVM Community is based on OpenJDK.

GraalVM is available for Linux and macOS on x86 64-bit and ARM 64-bit systems, and for Windows on x86 64-bit systems. Depending on the platform, the distributions are shipped as *.tar.gz* or *.zip* archives. See the [Getting Started guide](#) for installation instructions.



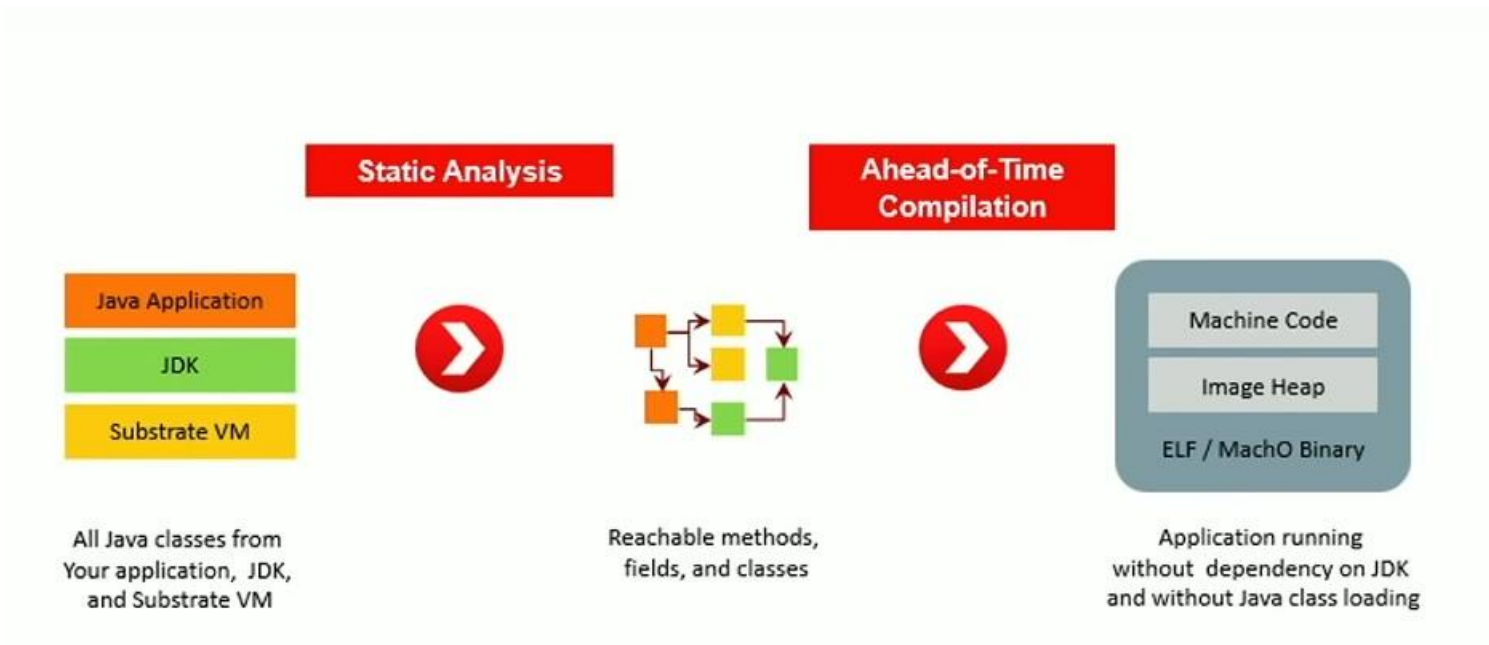
# GraalVM Architecture

## GraalVM Architecture





# SubstrateVM





# GraalVM on SubstrateVM

## A game changer for Java & Serverless?

Java Function compiled into a **native executable** using **GraalVM on SubstrateVM** reduces

- “cold start” times
- memory footprint

by order of magnitude compared to running on JVM.





# Current challenges with native executable using GraalVM

- AWS doesn't provide GraalVM (Native Image) as Java Runtime out of the box
- AWS provides Custom Runtime Option





# Custom Lambda Runtimes

## Custom AWS Lambda runtimes

You can implement an AWS Lambda runtime in any programming language. A runtime is a program that runs a Lambda function's handler method when the function is invoked. You can include a runtime in your function's deployment package in the form of an executable file named `bootstrap`.

A runtime is responsible for running the function's setup code, reading the handler name from an environment variable, and reading invocation events from the Lambda runtime API. The runtime passes the event data to the function handler, and posts the response from the handler back to Lambda.

Your custom runtime runs in the standard Lambda [execution environment](#). It can be a shell script, a script in a language that's included in Amazon Linux, or a binary executable file that's compiled in Amazon Linux.

To get started with custom runtimes, see [Tutorial – Publishing a custom runtime](#). You can also explore a custom runtime implemented in C++ at [awslabs/aws-lambda-cpp](#) on GitHub.

### Topics

- [Using a custom runtime](#)
- [Building a custom runtime](#)

## Using a custom runtime

To use a custom runtime, set your function's runtime to `provided`. The runtime can be included in your function's deployment package, or in a [layer](#).

### Example function.zip

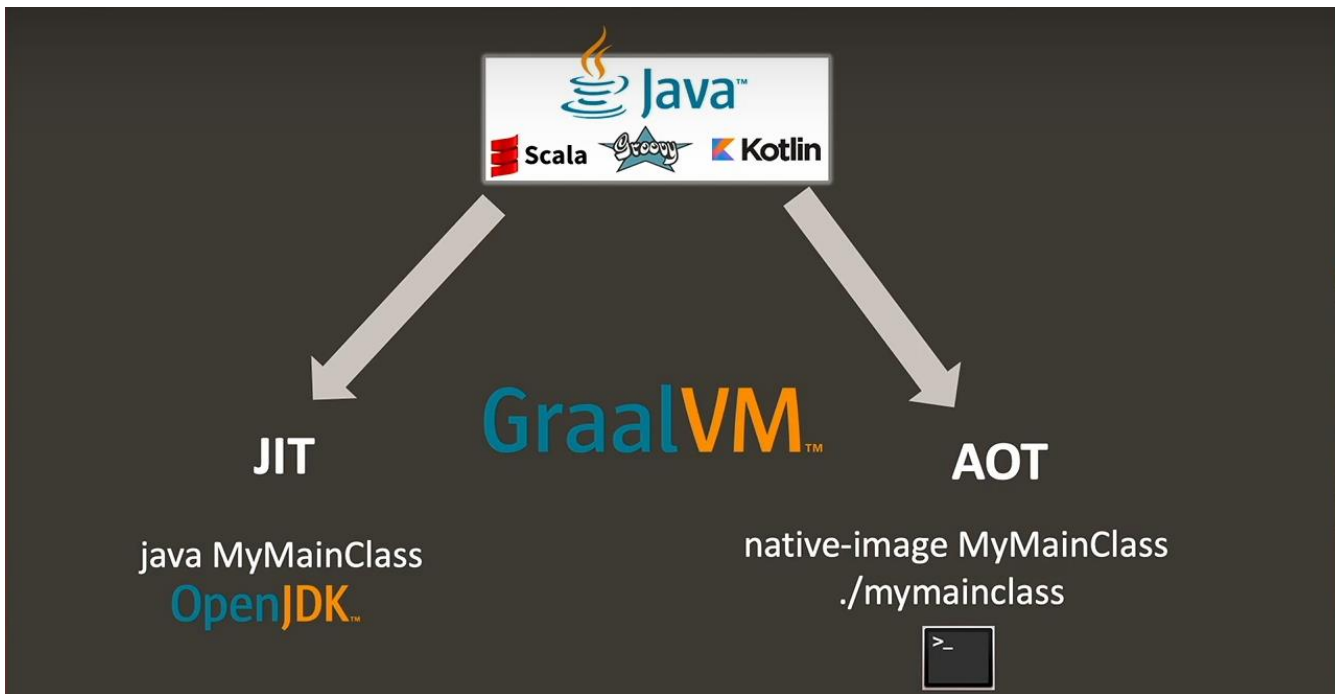
```
.
├─ bootstrap
└─ function.sh
```



If there's a file named `bootstrap` in your deployment package, Lambda executes that file. If not, Lambda looks for a runtime in the function's layers. If the `bootstrap` file isn't found or isn't executable, your function returns an error upon invocation.

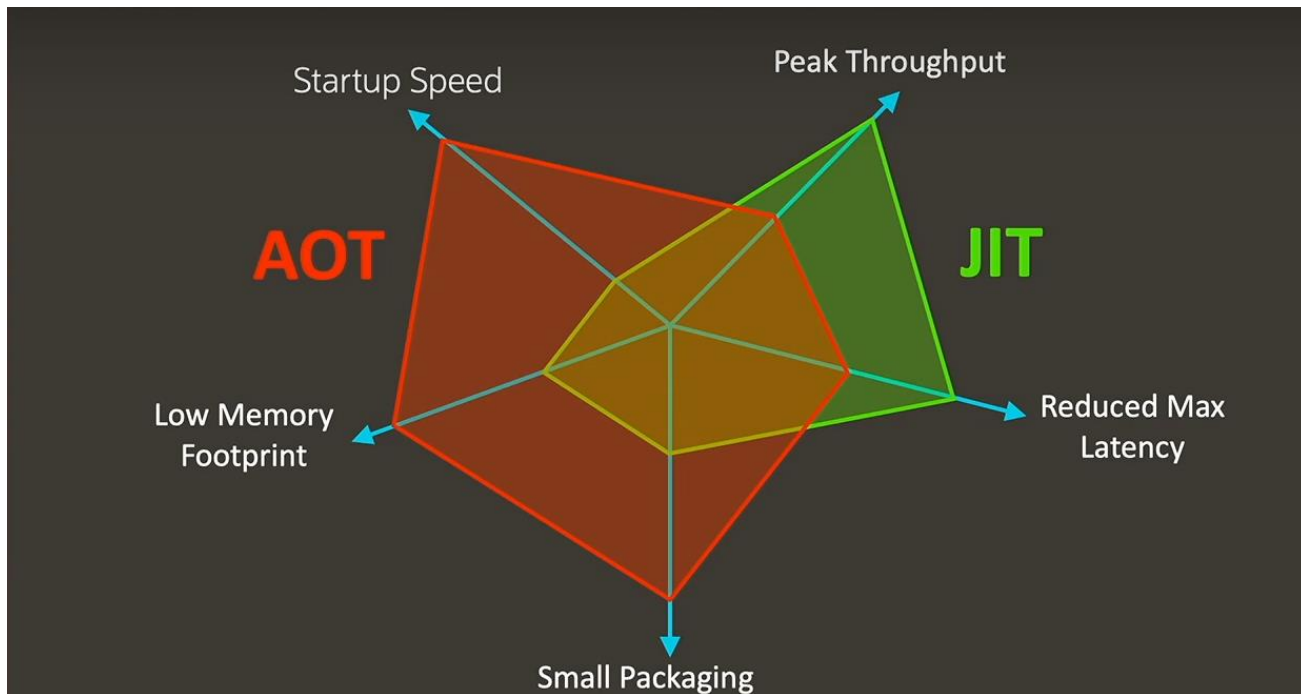


# GraalVM Complilation Modes



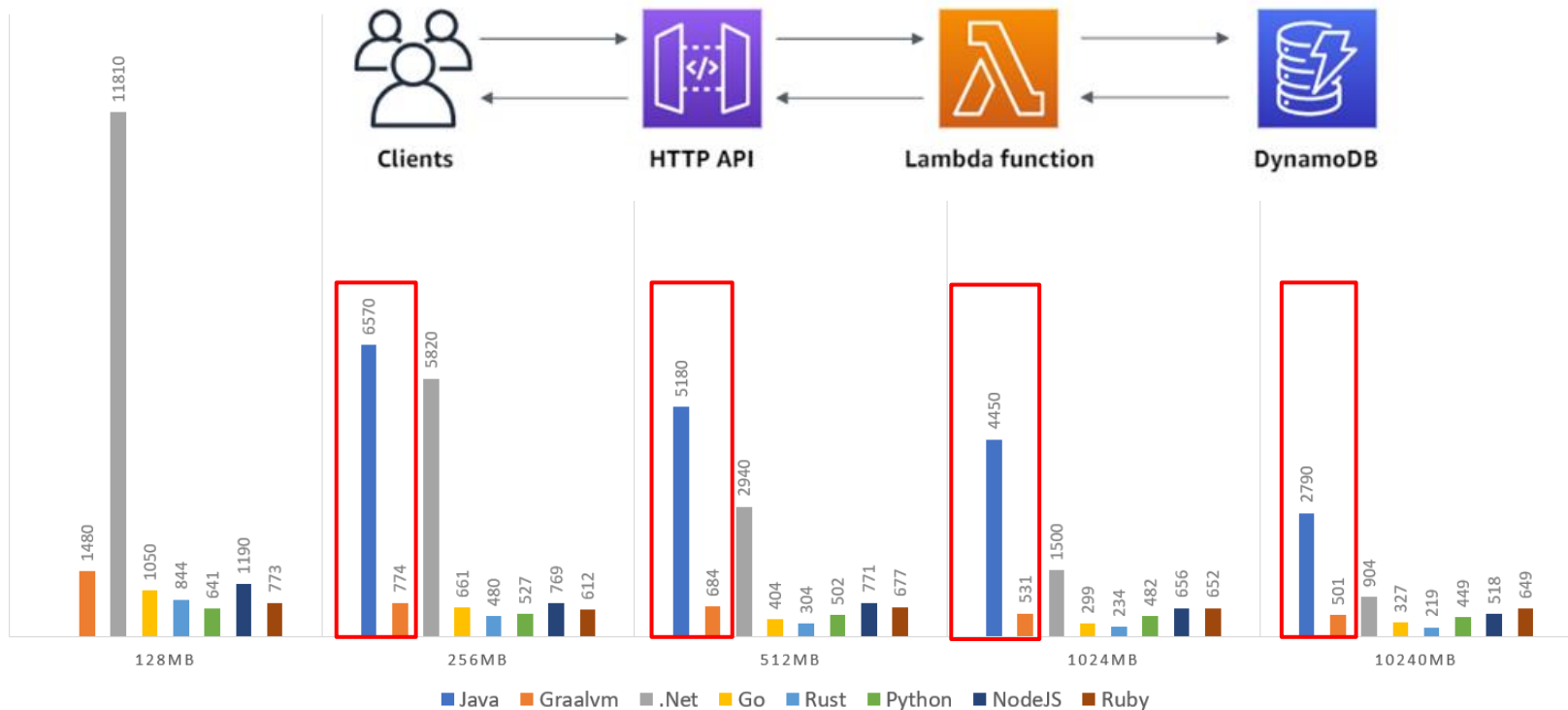


# AOT vs JIT





# GraalVM Native Cold Start 2021



Source: Aleksandr Filichkin: "AWS Lambda battle 2021: performance comparison for all languages (cold and warm start)"  
<https://filia-aleks.medium.com/aws-lambda-battle-2021-performance-comparison-for-all-languages-c1b441005fd1>



# Support of GraalVM native images in Frameworks

**Spring Boot/ Spring Framework** : Ongoing work on experimental **Spring Native** project.

**Quarkus**: a Kubernetes Native Java framework developed by Red Hat tailored for GraalVM and HotSpot, crafted from best-of-breed Java libraries and standards.

**Micronaut**: a modern, JVM-based, full-stack framework for building modular, easily testable microservice and serverless applications.



# Common principles for all frameworks

- Rely on as little reflection as possible
- Avoid runtime byte code generation, runtime generated proxies and dynamic class loading as much as possible
- Process annotations at compile time
- **The common goals:**
  - increase developer productivity
  - May decrease cold start times compared to plain Java solution (with and without the usage of GraalVM Native Image) using various compile-time optimization techniques
    - Currently only available for Micronaut



# Steps to deploy to AWS

- Installation prerequisites
  - Framework of your choice (Micronaut, Quarkus, Spring Native)
  - GraalVM and Native Image
  - Apache Maven or Gradle
  - AWS CLI and AWS SAM CLI (or SAM local for local testing)
- Build Linux executable of your application with GraalVM native-image
  - Use Maven or Gradle plugin
- Deploy Linux executable as AWS Lambda Custom Runtime
  - Function.zip with bootstrap Linux executable

Example function.zip

```
├─ bootstrap
└─ function.sh
```





# Quarkus





# Quarkus Example with Spring Annotations

```
import org.springframework.beans.factory.annotation.Autowired;  
import org.springframework.web.bind.annotation.*;
```

```
@RestController  
public class PetsController {  
  
    private PetData petData;  
  
    @Autowired  
    public PetsController(PetData data) {  
        petData = data;  
    }  
  
    @RequestMapping(path = "/pets", method = RequestMethod.POST)  
    public Pet createPet(@RequestBody Pet newPet) {  
        if (newPet.getName() == null || newPet.getBreed() == null) {  
            return null;  
        }  
  
        Pet dbPet = newPet;  
        dbPet.setId(UUID.randomUUID().toString());  
        return dbPet;  
    }  
  
    @RequestMapping(path = "/pets/{petId}", method = RequestMethod.GET)  
    public Pet getPet(@RequestParam("petId") String petId) {  
        Pet newPet = new Pet();  
        newPet.setId(UUID.randomUUID().toString());  
        newPet.setBreed(petData.getRandomBreed());  
        newPet.setDateOfBirth(petData.getRandomDoB());  
        newPet.setName(petData.getRandomName());  
        return newPet;  
    }  
}  
  
@RequestMapping(path = "/pets", method = RequestMethod.GET)  
public Pet[] listPets(@RequestParam("limit") Optional<Integer> limit) {  
    int queryLimit = 10;
```



# Build GraalVM Native Image with Quarkus

```
<profile>  
  <id>native</id>  
  <activation>  
    <property>  
      <name>native</name>  
    </property>  
  </activation>  
  <build>  
    <plugins>  
      <plugin>  
        <groupId>io.quarkus</groupId>  
        <artifactId>quarkus-maven-plugin</artifactId>  
        <version>${quarkus.version}</version>  
        <executions>  
          <execution>  
            <goals>  
              <goal>native-image</goal>  
            </goals>  
            <configuration>  
              <enableHttpUrlHandler>true</enableHttpUrlHandler>  
            </configuration>  
          </execution>  
        </executions>  
      </plugin>  
    </plugins>  
  </build>  
</profile>
```

mvn -Pnative package  
and optionally  
-Dquarkus.native.container-  
build=true

```
<plugin>  
  <groupId>org.apache.maven.plugins</groupId>  
  <artifactId>maven-assembly-plugin</artifactId>  
  <version>3.1.0</version>  
  <executions>  
    <execution>  
      <id>zip-assembly</id>  
      <phase>package</phase>  
      <goals>  
        <goal>single</goal>  
      </goals>  
      <configuration>  
        <finalName>function</finalName>  
        <descriptors>  
          <descriptor>src/assembly/zip.xml</descriptor>  
        </descriptors>  
        <attach>false</attach>  
        <appendAssemblyId>>false</appendAssemblyId>  
      </configuration>  
    </execution>  
  </executions>  
</plugin>
```



# Build GraalVM Native Image with Quarkus

<dependencies>

<dependency>

<groupId>io.quarkus</groupId>

<artifactId>quarkus-resteasy</artifactId>

</dependency>

<dependency>

<groupId>io.quarkus</groupId>

<artifactId>quarkus-amazon-lambda-http</artifactId>

</dependency>

<dependency>

<groupId>io.quarkus</groupId>

<artifactId>quarkus-spring-web</artifactId>

</dependency>

<dependency>

<groupId>io.quarkus</groupId>

<artifactId>quarkus-junit5</artifactId>

<scope>test</scope>

</dependency>

<dependency>

<groupId>io.rest-assured</groupId>

<artifactId>rest-assured</artifactId>

<scope>test</scope>

</dependency>

</dependencies>

```
[INFO] [io.quarkus.deployment.pkg.steps.NativeImageBuildStep] Running Quarkus native-image plugin on GraalVM 22.1.0 Java 17 CE (Java Version 17.0.3+7-jvmci-22.1-b06)
[INFO] [io.quarkus.deployment.pkg.steps.NativeImageBuildStep] /usr/lib/jvm/graalvm-ce-java17-22.1.0/bin/native-image -J-Dsun.nio.ch.maxDirectByteBufferSize=100 -J-Djava.util.logging.manager=org.jboss.logmanager.log
Manager -J-Dio.netty.leakDetection.level=DISABLED -J-Dio.netty.allocator.maxOrders=3 -J-Dvertx.logger-delegate-factory-class-name=io.quarkus.vertx.core.runtime.VertxLogDelegateFactory -J-Dvertx.disableSslResolver
=true -J-Duser.language=en -J-Dfile.encoding=UTF-8 -H:-ParseOnce -J--add-exports=java.security.jgss/sun.security.krb5=ALL-UNNAMED -J--add-opens=java.base/java.text=ALL-UNNAMED -H:InitialCollectionPolicy=com.orac
le.svm.core.gencavenge.collectionPolicy$B$SpaceAndTime -H:+JNI -H:+AllowFoldMethods -J-Djava.awt.headless=true -H:FallbackThreshold=0 --link-at-build-time -H:+ReportExceptionStackTraces -H:-AddAllCharsets -H:En
ableURLProtocols=http -H:NativeLinkerOptions=no-pie -H:-UseServiceLoaderFeature -H:+StackTrace quarkus-lambda-1.0.0-SNAPSHOT-runner -jar quarkus-lambda-1.0.0-SNAPSHOT-runner.jar
-----[GraalVM Native Image: Generating 'quarkus-lambda-1.0.0-SNAPSHOT-runner' (executable)...
-----[1/7] Initializing...
(18.8s @ 0.25GB) Version info: 'GraalVM 22.1.0 Java 17 CE'
C compiler: gcc (linux, x86_64, 9.4.0)
Garbage collector: Serial GC
3 user-provided feature(s)
- io.quarkus.runner.AutoFeature
- io.quarkus.runtime.graal.DisableLoggingAutoFeature
- io.quarkus.runtime.graal.ResourcesFeature
[2/7] Performing analysis... [*****] (95.3s @ 2.33GB) 11,273 (90.14%) of 12,506 classes reachable
16,340 (59.28%) of 27,564 fields reachable
58,839 (56.99%) of 103,241 methods reachable
467 classes, 160 fields, and 1,821 methods registered for reflection
64 classes, 75 fields, and 55 methods registered for JNI access
[3/7] Building universe... (9.0s @ 2.83GB)[4/7] Parsing methods... [*****] (21.3s @ 1.73GB)[6/7] Compiling methods... [*****] (18.1s @ 2.19GB) 22.97MB (44.69%) for code area
(66.7s @ 2.15GB)[5/7] Inlining methods... [*****] (65.1s @ 3.41GB)[7/7] Creating image...
39,122 compilation units
23.48MB (45.97%) for image heap: 7,758 classes and 290,542 objects
5.65MB (10.85%) for other data
52.89MB in total
```



# AWS Lambda Deployment of Custom Runtime with SAM

## Resources:

### PetStoreNativeFunction:

Type: AWS::Serverless::Function

#### Properties:

Handler: not.used.in.provided.runtime

Runtime: provided

CodeUri: target/function.zip

MemorySize: 128

Policies: AWSLambdaBasicExecutionRole

Tracing: Active

Timeout: 15

#### Environment:

##### Variables:

DISABLE\_SIGNAL\_HANDLERS: true

#### Events:

##### GetResource:

Type: Api

##### Properties:

Path: /{proxy+}

Method: any

## Outputs:

### PetStoreNativeApi:

Description: URL for application

Value: !Sub 'https://\${ServerlessRestApi}.execute-api  
.\${AWS::Region}.amazonaws.com/Prod/'

#### Export:

Name: PetStoreNativeApi

Local testing:

**sam local** start-api -t sam.native.yaml

**curl** localhost:3000/{yourURI}

Cloud deployment:

**sam deploy** -g -t sam.native.yaml

**curl** https://xxxxxxxxx.execute-api.xx-xxxx-  
1.amazonaws.com/Prod/pets/5



# Quarkus Example with Quarkus Annotations

```
import com.amazonaws.services.lambda.runtime.Context;
import com.amazonaws.services.lambda.runtime.RequestHandler;
import com.amazonaws.services.lambda.runtime.events.APIGatewayProxyRequestEvent;
import com.amazonaws.services.lambda.runtime.events.APIGatewayProxyResponseEvent;
import software.amazon.awssdk.http.HttpStatusCode;

import javax.inject.Inject;
import javax.inject.Named;

@Named("petsRequestHandler")
public class PetsRequestHandler implements RequestHandler<APIGatewayProxyRequestEvent,
    APIGatewayProxyResponseEvent> {

    private PetData petData;
    private ObjectMapper objectMapper = new ObjectMapper();

    @Inject
    public PetsRequestHandler(PetData data) {
        petData = data;
    }

    public APIGatewayProxyResponseEvent handleRequest(APIGatewayProxyRequestEvent request,
        Context context) {

        Pet newPet = new Pet();
        newPet.setId(request.getPathParameters().get("petId"));
        newPet.setBreed(petData.getRandomBreed());
        newPet.setDateOfBirth(petData.getRandomDoB());
        newPet.setName(petData.getRandomName());

        try {
            return new APIGatewayProxyResponseEvent()
                .withStatusCode(HttpStatusCode.OK)
                .withBody(objectMapper.writeValueAsString(newPet.toString()));
        } catch (Exception je) {
            return new APIGatewayProxyResponseEvent()
                .withStatusCode(HttpStatusCode.INTERNAL_SERVER_ERROR)
                .withBody("Internal Server Error :: " + je.getMessage());
        }
    }
}
```

```
LambdaQuarkusGetPet:
Type: Api
Properties:
RestApiId: !Ref ApiGatewayApi
Path: /pets/{petId}
Method: GET
```

```
import javax.enterprise.context.ApplicationScoped;
```

```
@ApplicationScoped
public class PetData {
    .....
}
```

```
import io.quarkus.runtime.annotations.RegisterForReflection;
```

```
@RegisterForReflection
public class Pet {
    .....
}
```

`src/main/resources/application.properties`

```
quarkus.lambda.handler = petsRequestHandler
quarkus.ssl.native = true
quarkus.native.enable-http-url-handler = true
quarkus.native.native-image-xmx = 6G
```

```
quarkus.native.container-build=true
quarkus.native.container-runtime=docker
quarkus.native.builder-image=quay.io/quarkus/ubi-quarkus
-native-image:19.2.1
```



# Quarkus Additional Features

- AWS Lambda currently works by implementing `com.amazonaws.services.lambda.runtime.RequestHandler` interface or by using Spring Web annotations model like `@RestController`, `@RequestMapping`

- Doesn't support Lambda function implementing Java 8 Function Interface

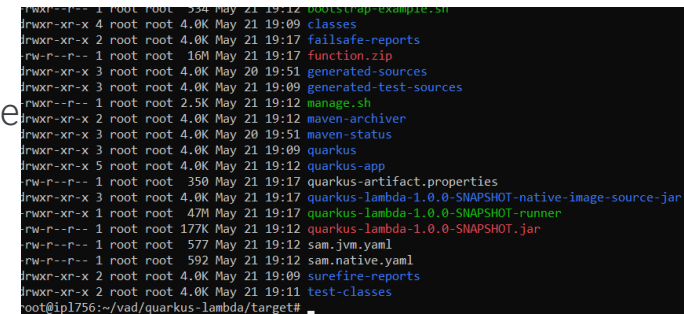
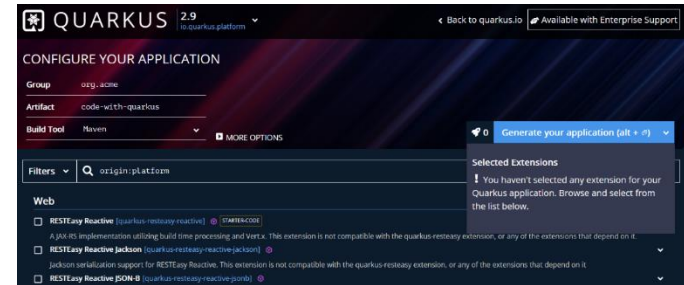
- Website (<https://code.quarkus.io/>)

- CLI for creating the App

- `quarkus create app`
  - use `quarkus-amazon-lambda` extension in `pom.xml`
  - `quarkus build --native -Dquarkus.native.container-build=true`

- Eclipse MicroProfile compatible

- Funqy for multi cloud solutions





# Micronaut Framework







# Micronaut Example

```
package example.micronaut;

import io.micronaut.http.annotation.Controller;
import io.micronaut.http.annotation.Get;
import io.micronaut.http.annotation.Post;

@Controller("/book")
public class BookController {

    @Post("/saveBook/{name}/{isbn}")
    public Book save(String name, int isbn) {
        Book book = new Book();
        book.setName(name);
        book.setIsbn(isbn);
        return book;
    }

    @Get ("/getBook/{isbn}")
    public Book get(int isbn) {
        Book book = new Book ();
        book.setName("New Vadym's book");
        book.setIsbn(isbn);
        return book;
    }
}
```



# Build GraalVM Native Image with Quarkus

```
<dependencies>
  <dependency>
    <groupId>io.micronaut</groupId>
    <artifactId>micronaut-inject</artifactId>
    <scope>compile</scope>
  </dependency>
  <dependency>
    <groupId>io.micronaut</groupId>
    <artifactId>micronaut-validation</artifactId>
    <scope>compile</scope>
  </dependency>
  <dependency>
    <groupId>io.micronaut.aws</groupId>
    <artifactId>micronaut-function-aws-api-proxy</artifactId>
    <scope>compile</scope>
  </dependency>
  <dependency>
    <groupId>io.micronaut.aws</groupId>
    <artifactId>micronaut-function-aws-custom-runtime</artifactId>
    <scope>compile</scope>
  </dependency>
  <dependency>
    <groupId>io.micronaut.aws</groupId>
    <artifactId>micronaut-function-aws-api-proxy-test</artifactId>
    <scope>test</scope>
  </dependency>
</dependencies>
```

`./mvnw package -Dpackaging=native-image`  
`-Dmicronaut.runtime=lambda`

Packaging can also have `docker` or  
`docker-native` value



# Micronaut Additional Features

- AWS Lambda currently works by implementing its own annotations (very similar to Spring Web) and should potentially work with Spring Web annotations model like **@RestController**, **@RequestMapping**
- Website (<https://micronaut.io/launch>) or CLI for creating the App
- Custom Validators
- No support for MicroProfile
- Micronaut AOT

The screenshot shows the Micronaut Launch web interface. At the top, there is a logo for Micronaut Launch and a row of social media icons. Below the logo, there are four columns of configuration options:

- Application Type:** Micronaut Application
- Java Version:** 17
- Name:** demo
- Base Package:** com.example

Below these are four rows of radio button options:

- Micronaut Version:**  3.4.3,  3.4.4-SNAPSHOT,  2.5.13
- Language:**  Java,  Groovy,  Kotlin
- Build Tool:**  Gradle,  Gradle Kotlin,  Maven
- Test Framework:**  JUnit,  Spock,  Kotest

At the bottom, there are four buttons: "+ FEATURES", "- DIFF", "🔍 PREVIEW", and "🚀 GENERATE PROJECT". Below the buttons, it says "Included Features (4)" and lists: aws-lambda, aws-lambda-custom-runtime, spring, and spring-boot, each with a close button (x).



# Micronaut® AOT: build-time optimizations for Micronaut applications

Micronaut AOT is an extension to the Micronaut Framework which is the foundation to many optimizations that can be implemented at build time but weren't possible solely with annotation processing.

By effectively analyzing the deployment environment, AOT is capable of reducing startup times or distribution size for both native and JVM deliverables.

```
./mvnw package -Dpackaging=native-image  
-Dmicronaut.runtime=lambda -Dmicronaut.aot.enabled=true
```

Packaging can also have `docker` or `docker-native` value

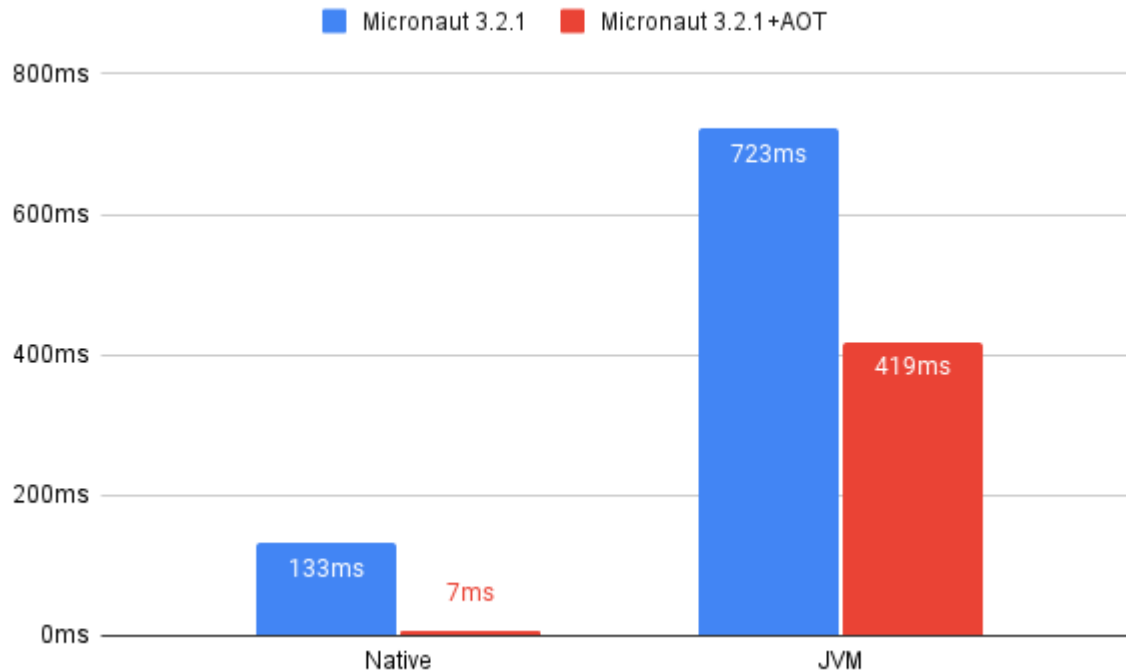


# Micronaut® AOT: build-time optimizations for Micronaut applications

- **optimize service loading** by pre-scanning the list of available services and implementing a loading strategy that's fully parallel in the JVM and serial in GraalVM native executables (because classloading is effectively free in native executables)
- **convert YAML configuration to Java configuration** to make apps startup faster, while reducing the final binary size because YAML parsing is no longer necessary
- **cache the environment** so that once the application is started, the framework assumes that system properties and environment variables won't change, saving time when performing lookups
- **precompute bean requirements** to eliminate beans whose requirements won't be met at runtime (this can happen if you have transitive dependencies bringing beans that you don't use, for example)
- **deduce the environment at build time**, which is the major optimization we used in the example above
- **precompute some expensive operations**, like converting environment variable names to Micronaut configuration properties
- **optimize classloading** by avoiding lookup for classes that we know are not on classpath



# Micronaut® AOT: build-time optimizations for Micronaut applications





# Spring (Boot) Framework





# Spring GraalVM Native Project

build failing documentation

Spring Native provides beta support for compiling Spring applications to native executables using [GraalVM native-image](#) compiler, in order to provide a native deployment option typically designed to be packaged in lightweight containers. In practice, the target is to support your Spring Boot application , almost unmodified, on this new platform.

Watch the [video](#) and read the [blog post](#) of Spring Native Beta announcement to learn more.

Announcing  
**Spring Native Beta!**



Spring Boot 3 and Spring Framework 6, due in late 2022, will have built-in support for native Java.



Quick start





# Spring Native Example

```
@SpringBootApplication
```

```
public class SpringBootNativeApp {  
  
    public static void main(String[] args) {  
        SpringApplication.run(SpringBootNativeApp.class, args);  
    }  
}
```

```
@Bean
```

```
public GetBookByIdFunction getBookById() {  
    return new GetBookByIdFunction();  
}
```

```
GetBookByIdFunction:  
Type: AWS::Serverless::Function  
Properties:  
Environment:  
Variables:  
    DEFAULT_HANDLER: getBookById  
Events:  
    GetRequestById:  
        Type: Api  
        Properties:  
            RestApiId: !Ref MyApi  
            Path: /book/{id}  
            Method: get
```

```
import com.amazonaws.services.lambda.runtime.events.APIGatewayProxyRequestEvent;  
import com.amazonaws.services.lambda.runtime.events.APIGatewayProxyResponseEvent;  
  
import java.util.function.Function;
```

```
@Component  
public class GetBookByIdFunction implements Function<APIGatewayProxyRequestEvent,  
    APIGatewayProxyResponseEvent> {
```

```
@Override  
public APIGatewayProxyResponseEvent apply(APIGatewayProxyRequestEvent requestEvent) {  
    String id = requestEvent.getPathParameters().get("id");  
  
    return new APIGatewayProxyResponseEvent()  
        .withStatusCode(HttpStatusCode.OK)  
        .withBody(objectMapper.writeValueAsString("book with id "+id+  
            "found and has title "+" Vadym"));  
} catch (Exception je) {  
    return new APIGatewayProxyResponseEvent()  
        .withStatusCode(HttpStatusCode.INTERNAL_SERVER_ERROR)  
        .withBody("Internal Server Error :: " + je.getMessage());  
}
```

```
curl https://xxxxxxxxxx.execute-api.  
xx-xxxx-1.amazonaws.com/prod/book/5
```



# Build GraalVM Native Image with Spring

mvn -Pnative package

```
<profiles>
  <profile>
    <id>native</id>
    <build>
      <plugins>
        <plugin>
          <artifactId>maven-assembly-plugin</artifactId>
          <executions>
            <execution>
              <id>native-zip</id>
              <phase>package</phase>
              <goals>
                <goal>single</goal>
              </goals>
              <inherited>>false</inherited>
            </execution>
          </executions>
          <configuration>
            <descriptors>
              <descriptor>src/assembly/native.xml</descriptor>
            </descriptors>
          </configuration>
        </plugin>
      </plugins>
    </build>
  </profile>
</profiles>
```

```
<dependencies>
  <dependency>
    <groupId>org.springframework.experimental</groupId>
    <artifactId>spring-native</artifactId>
  </dependency>
  <dependency>
    <groupId>org.springframework.cloud</groupId>
    <artifactId>spring-cloud-function-adapter-aws</artifactId>
  </dependency>
  <dependency>
    <groupId>org.springframework.cloud</groupId>
    <artifactId>spring-cloud-function-web</artifactId>
  </dependency>
  <dependency>
    <groupId>org.springframework</groupId>
    <artifactId>spring-web</artifactId>
  </dependency>
  <dependency>
    <groupId>org.springframework.boot</groupId>
    <artifactId>spring-boot-starter-web</artifactId>
  </dependency>
  <dependency>
    <groupId>org.springframework.boot</groupId>
    <artifactId>spring-boot-starter-test</artifactId>
    <scope>test</scope>
  </dependency>
</dependencies>
```

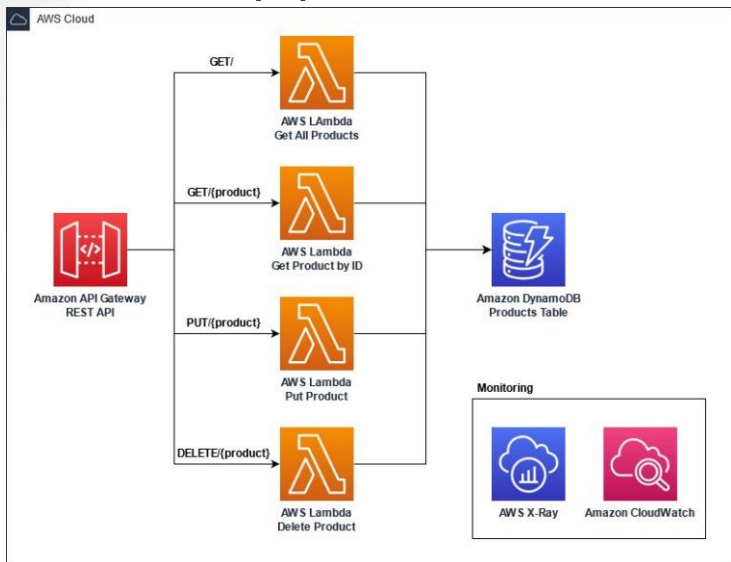


# Spring Native

- AWS Lambda currently only works by implementing **Java 8 Functional Interface**
  - Doesn't support Lambda function implementing `com.amazonaws.services.lambda.runtime.RequestHandler` interface
  - Doesn't support Spring Web Annotations model like **@RestController**, **@RequestMapping**, which Quarkus and Micronaut do



# Lambda demo with common Java application frameworks



**Artillery** is used to make 100 requests / second for 10 minutes to our API endpoints.

## Results from Managed Java Runtime

	Cold Start (ms)				Warm Start (ms)			
	p50	p90	p99	max	p50	p90	p99	max
<b>Micronaut</b>	8505.57	8977.26	9685.76	10512.48	9.38	14.86	40.39	553.75
<b>Quarkus</b>	6384.45	6671.49	7055.55	8303.17	10.41	19.07	48.45	317.69
<b>Spring Boot</b>	12673.61	13098.60	13497.31	14118.06	10.99	21.75	75.00	419.90

## Results from GraalVM Native images running in custom runtime

	Cold Start (ms)				Warm Start (ms)			
	p50	p90	p99	max	p50	p90	p99	max
<b>Micronaut</b>	604.16	659.02	700.45	893.70	6.30	8.00	15.88	69.9
<b>Quarkus</b>	437.45	475.76	519.50	528.03	7.45	12.60	21.32	93.45
<b>Spring Boot</b>	620.66	684.53	721.77	751.98	9.10	14.22	23.61	259.16



# Lambda Container Image Support

AWS News Blog

## New for AWS Lambda – Container Image Support

by Danilo Poccia | on 01 DEC 2020 | in [Announcements](#), [AWS Lambda](#), [AWS ReInvent](#), [Compute](#), [Containers](#), [Serverless](#) | [Permalink](#) | [Share](#)



Voiced by Amazon Polly

With [AWS Lambda](#), you upload your code and run it without thinking about servers. [Many customers enjoy the way this works](#), but if you've invested in container tooling for your development workflows, it's not easy to use the same approach to build applications using Lambda.

To help you with that, you can now package and deploy Lambda functions as **container images** of up to **10 GB** in size. In this way, you can also easily build and deploy larger workloads that rely on sizable dependencies, such as machine learning or data intensive workloads. Just like functions packaged as ZIP archives, functions deployed as container images benefit from the same operational simplicity, automatic scaling, high availability, and native integrations with many services.

We are providing **base images** for all the supported **Lambda runtimes (Python, Node.js, Java, .NET, Go, Ruby)** so that you can easily add your code and dependencies. We also have base images for custom runtimes based on [Amazon Linux](#) that you can extend to include your own runtime implementing the [Lambda Runtime API](#).

## Create function Info

Choose one of the following options to create your function.

### Author from scratch

Start with a simple Hello World example.

### Use a blueprint

Build a Lambda application from sample code and configuration presets for common use cases.

### Container image

Select a container image to deploy for your function.

### Browse serverless app repository

Deploy a sample Lambda application from the AWS Serverless Application Repository.

## Basic information

### Function name

Enter a name that describes the purpose of your function.

Use only letters, numbers, hyphens, or underscores with no spaces.

### Container image URI Info

The location of the container image to use for your function.

Requires a valid Amazon ECR image URI

Source: „<https://aws.amazon.com/de/blogs/aws/new-for-aws-lambda-container-image-support/>“



# Lambda Container Image Support

- What about the support of the current Java version for Lambda?
  - Amazon Corretto provides Long Term Support (LTS)
    - Currently only Java 8, Java 11
  - Use Container (Docker) Image with i.e. Java 18

## Amazon Corretto

No-cost, multiplatform, production-ready distribution of OpenJDK

Amazon Corretto is a no-cost, multiplatform, production-ready distribution of the Open Java Development Kit (OpenJDK). Corretto comes with long-term support that will include performance enhancements and security fixes. Amazon runs Corretto internally on thousands of production services and Corretto is certified as compatible with the Java SE standard. With Corretto, you can develop and run Java applications on popular operating systems, including Linux, Windows, and macOS.



# Lambda Container Image Support with Java 18

1. Download the desired Java version and copy the local application code to the Docker environment and build it with Maven:

```
FROM amazonlinux:2
...
# Update packages and install Amazon Corretto 18, Maven and Zip
RUN yum -y update
RUN yum install -y java-18-amazon-corretto-devel maven zip
...
# Copy the software folder to the image and build the function
COPY software software
WORKDIR /software/example-function
RUN mvn clean package
```

2. This step results in an uber-jar (function.jar) that you can use as an input argument for `jdeps`. The output is a file containing all the Java modules that the function depends on:

```
RUN jdeps -q \
  --ignore-missing-deps \
  --multi-release 18 \
  --print-module-deps \
  target/function.jar > jre-deps.info
```

3. Create an optimized Java runtime based on those application modules with `jlink`. Remove unnecessary information from the runtime, for example header files or man-pages:

```
RUN jlink --verbose \
  --compress 2 \
  --strip-java-debug-attributes \
  --no-header-files \
  --no-man-pages \
  --output /jre18-slim \
  --add-modules $(cat jre-deps.info)
```

4. This creates your own custom Java 18 runtime in the `/jre18-slim` folder. You can apply additional optimization techniques such as [Class-Data-Sharing \(CDS\)](#) to generate a `classes.jsa` file to accelerate the class loading time of the JVM.

```
RUN /jre18-slim/bin/java -Xshare:dump
```

<https://aws.amazon.com/de/blogs/compute/build-a-custom-java-runtime-for-aws-lambda/>



# Conclusion

- GraalVM and Frameworks are really powerful with a lot of potential
- GraalVM Native Image improves cold starts and memory footprint significantly
- GraalVM Native Image is currently not without challenges
  - AWS Lambda Custom Runtime requires Linux executable only
  - Building Custom Runtime requires some additional effort
    - i.e. you need to scale CI pipeline to build memory-intensive native image yourself
  - Build time is a factor
  - You pay for the init-phase of the function packaged as AWS Lambda Custom Runtime
    - Init-phase is free for the managed runtimes like Java 8 and Java 11 (Corretto)





# Personal Recommendations (highly opinionated)

- By default start with **plain managed** Java Long Term Support Version with Amazon Corretto 11 + optionally your favorite framework (Micronaut, Quarkus)
- If you don't want to miss years of innovation and use the newest Java Version?
  - Use Lambda Docker (Container) Image Support
- If your function needs constantly low response times for the known period of time ?
  - Use Provisioned Concurrency additionally
- If your function needs constantly low response time and low cost is a requirement?
  - Use GraalVM Native Image + optionally your favorite framework (Micronaut, Quarkus, Spring Boot Native) and AWS Lambda Custom Runtime
- The usage of the frameworks (Micronaut, Quarkus, Spring Boot GraalVM Native) may improve your productivity but may add up additional costs (longer build time, larger cold starts)



# Try it yourselves

- Quarkus
  - <https://github.com/aws-samples/aws-quarkus-demo/tree/main/lambda>
  - <https://quarkus.io/guides/amazon-lambda>
- Micronaut
  - <https://github.com/micronaut-guides/micronaut-function-aws-lambda>
- Spring Native
  - <https://github.com/spring-projects-experimental/spring-native/tree/main/samples/cloud-function-aws>
- Misc examples with all frameworks
  - <https://github.com/aws-labs/aws-serverless-java-container/tree/master/samples>





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